



## INTRODUCTION

How are people able to detect, locate, and identify ecologically important sounds in complex acoustic environments? Recognizing target speech under noisy “cocktail-party” conditions with multiple-people talking is one of the most difficult tasks where listeners need to facilitate the perceptual segregation between target speech and masking speech using some perceptual and/or cognitive cues (Freyman et al., 2004; Helfer and Freyman, 2005, 2009; Schneider et al., 2007; Wu et al., 2012, 2013a,b; Zheng et al., 2016). Lipreading is one of the cues that can help people follow the target-speech stream of the attended speaker under “cocktail-party” conditions (Helfer and Freyman, 2005; Wu et al., 2013a,b).

In a face-to-face conversation, speech information contained in speech lipreading is both redundant and complementary to speech sounds (Summerfield, 1979). More in detail, the visual-auditory temporal synchrony (temporally co-modulated visual and auditory information) indicates the distinctive rate and dynamic phase of target-speech syllables, and consequently it both facilitates listeners’ selective attention to the time windows that contain target syllables and forms the expectation of the forthcoming components of the target stream (Wright and Fitzgerald, 2004). Moreover, the degree of mouth opening of the target talker is related the overall amplitude contour of speech (Summerfield, 1992; Grant and Seitz, 2000). Finally, speech-lipreading signals also contain important phonetic information, including that of vowels, diphthongs, and place of articulation of consonants (Summerfield, 1992).

In a noisy environment, when a listener feels it difficult to comprehend what a talker has said in a face-to-face conversation, the listener usually asks the talker to repeat the attended sentence(s). The beneficial effect of “say-it-again” can be caused by viewing a talker’s movements of speech articulators (i.e., the unmasking effect of visual-speech prime, VSP, Wu et al., 2013a,b). The unmasking effect of VSP is normally based on the incorporation of several perceptual/cognitive processes, including the processing of speech information contained in lipreading, working memory of lipreading information, audiovisual integration during the co-presentation of the target speech and the masking speech, selective attention on target speech, and suppression of irrelevant masking signals (Wu et al., 2013a). People with schizophrenia, however, show impaired ability of using the temporally pre-presented lip-reading cue to improve target-speech identification against speech masking (Wu et al., 2013b), possibly suggesting a combined effect of working-memory deficits (Forbes et al., 2009), cross-modal-integration deficits (Ross et al., 2007; Wu et al., 2013b), and object-oriented-attention deficits (Zheng et al., 2016; Wu et al., 2016).

Over the past decade, considerable progress has been made in localizing the brain regions that are involved in either processing of speech lipreading (Ludman et al., 2000; Campbell et al., 2001; Calvert and Campbell, 2003; Capek et al., 2008; Xu et al., 2009; Bernstein and Liebenthal, 2014) or perception of masked speech (Scott et al., 2004; Badcock and Hugdahl, 2012; Scott and McGettigan, 2013). For example, compared to stilled visual

speech images, moving visual speech images (lipreading) induce activation in the bilateral lingual gyrus, superior/middle temporal cortex, bilateral parietal lobule, and bilateral inferior frontal gyrus (IFG) (Calvert et al., 1997; Calvert and Campbell, 2003). Particularly, the inferior temporal gyrus (ITG) is activated by observation of face gestures (Bernstein et al., 2011), speaking faces (Ludman et al., 2000; Campbell et al., 2001), or symbolic gestures (Xu et al., 2009). As for the processing of masked speech, there is extensive, level-independent activation in the dorsolateral temporal lobes associated with the contrast of speech-in-speech over speech-in-noise conditions (Scott et al., 2004; Scott and McGettigan, 2013). Moreover, fMRI-recording studies on audiovisual integration have shown that increased BOLD signals are observed in the bilateral posterior superior temporal sulcus (pSTS) when processing audiovisual speech with degraded auditory stimulation (Szyck et al., 2008), and in the left ITG when processing multi-modal semantic signals associated with the meaning of speech (Wise et al., 1991; Vandenberghe et al., 1996; Mummery et al., 1999; Giraud and Truy, 2002). However, the neural mechanism underlying the unmasking effect of VSP on target-speech recognition against speech masking remains unknown.

Up to date, it has not been clear whether the brain substrates underlying the unmasking effect of VSP are impaired in people with schizophrenia. It has been shown that deficits of audiovisual integration are amongst the most consistent perceptual and cognitive impairments in people with schizophrenia (Surguladze et al., 2001; de Gelder et al., 2005; Foucher et al., 2007; de Jong et al., 2009; Szyck et al., 2009; Williams et al., 2010). Less activation in the right IFG, bilateral superior/middle temporal gyri, and left posterior ITG have been observed in people with schizophrenia while performing the silent lip-reading task (Surguladze et al., 2001). People with schizophrenia also show an inverted response direction in the right medial frontal gyrus, right IFG, bilateral caudate and fusiform gyrus in the congruent vs. incongruent audiovisual task (Szyck et al., 2009). In particular, people with schizophrenia exhibit deficits in benefiting from visual speech (lipreading) information when processing auditory speech (de Gelder et al., 2005; Ross et al., 2007; Wu et al., 2013b). Thus, investigation of the brain substrates underlying the unmasking effect of VSP may further our understanding of schizophrenia.

Using the functional magnetic resonance imaging (fMRI) method, this study was to investigate the brain substrates underlying the unmasking effect of VSP in healthy listeners and in listeners with schizophrenia.

## METHODS

### Participants

Patients with schizophrenia were diagnosed with the Structured Clinical Interview for DSM-IV (SCID-DSM-IV) (First et al., 1997), and were recruited in the Affiliated Brain (Huiui) Hospital of Guangzhou Medical University with the recruiting criteria used previously (Wu et al., 2012; Zheng et al., 2016). Patients with diagnoses of schizoaffective or other psychotic disorders were not included. Some potential patient participants were excluded from

this study if they had comorbid diagnoses, substance dependence, or other conditions that affected experimental tests (including hearing loss, a treatment of the electroconvulsive therapy (ECT) within the past 3 months, a treatment of trihexyphenidyl hydrochloride with a dose of more than 6 mg/day, or an age younger than 18 or older than 59).

Demographically matched healthy participants were recruited from the community around the hospital with the recruiting criteria used previously (Wu et al., 2012; Zheng et al., 2016). They were telephone-interviewed first and then those who passed the interview were screened with the SCID-DSM-IV as used for patient participants. None of the selected healthy participants had either a history of Axis I psychiatric disorder as defined by the SCID-DSM-IV.

Patient participants, patient guarantees, and healthy participants gave their written informed consent for participation in this study. The procedures of this study were approved by the Independent Ethics Committee (IEC) of the Affiliated Brain (Huiiai) Hospital of Guangzhou Medical University.

Twenty-five patients with schizophrenia and 17 healthy listeners participated in this study. Three patients and 1 control participant were excluded from data analyses due to excessive head movement during fMRI scanning (>3 mm in translation or >3° in rotation from the first volume in any axis). The remaining 22 patients (14 males and 8 females, with age  $29.0 \pm 8.1$  years) and 16 healthy participants (7 males and 9 females, with age  $27.4 \pm 8.6$  years) were included in fMRI data analyses and behavioral testing. All the participants were right-handed with pure-tone hearing thresholds (<30 dB HL) between 125 and 8,000 Hz for the two ears, and had normal or corrected-to-normal vision. Their first language was Mandarin Chinese. All patient participants received antipsychotic medications during this study with the average chlorpromazine equivalent of 521 mg/day (based on the conversion factors described by Woods, 2003) and were clinically stable during their participation. For the purpose of improving sleeping, some of the patient participants also received benzodiazepines based on doctors' advice. The locally validated version of the Positive and Negative Syndrome Scale (PANSS) tests (Si et al., 2004) was conducted on the day of fMRI scanning for all participants. The characteristics of patient participants and healthy participants are shown in Table 1.

## Procedures of the fMRI Experiment Stimuli and Design

There were three types of stimuli: (auditory) target-speech stimuli, (auditory) masking-speech stimuli, and visual priming stimuli. The target-speech stimuli used in both the fMRI experiment and the behavioral testing were “nonsense” Chinese phrases with 3 words and each word contained 2 syllables (in total 6 syllables in a phrase). These phrase were syntactically ordinary but not semantically meaningful (Yang et al., 2007; see Wu et al., 2013b), and spoken by a young female talker (Talker A). For example, the English translation of a phrase is “retire his ocean” (keywords are underlined). Obviously, the phrase frame provided no contextual support for recognizing individual keywords. The duration of a target phrase was around 2,200 ms (Figure 1).

**TABLE 1 | Characteristics of patients with schizophrenia and healthy controls.**

Basic characteristic	Patients with schizophrenia (n = 22)	Healthy people (n = 16)
Age (years ± SD)	29.00 (8.05)	27.44 (8.63)
Male % (n)	63.55 (14)	43.75 (7)
Education (years ± SD)	13.14 (2.47)	15.00 (2.58)
MID (years ± SD)	5.49 (4.05)	NA
PANSS total	53.64 (6.04)	NA
PANSS positive	14.86 (4.16)	NA
PANSS negative	11.45 (4.03)	NA
PANSS general	34.56 (9.62)	NA
Medication	Patient Number	
Typical	5	NA
Atypical	19	NA
Typical and atypical <sup>a</sup>	2	NA
Chlorpromazine equivalent	Mean (SD): 521 (223) Range: 225–1,000	NA

MID, mean illness duration; NA, not applicable; PANSS, Positive and Negative Syndrome Scale; SD, standard deviation.

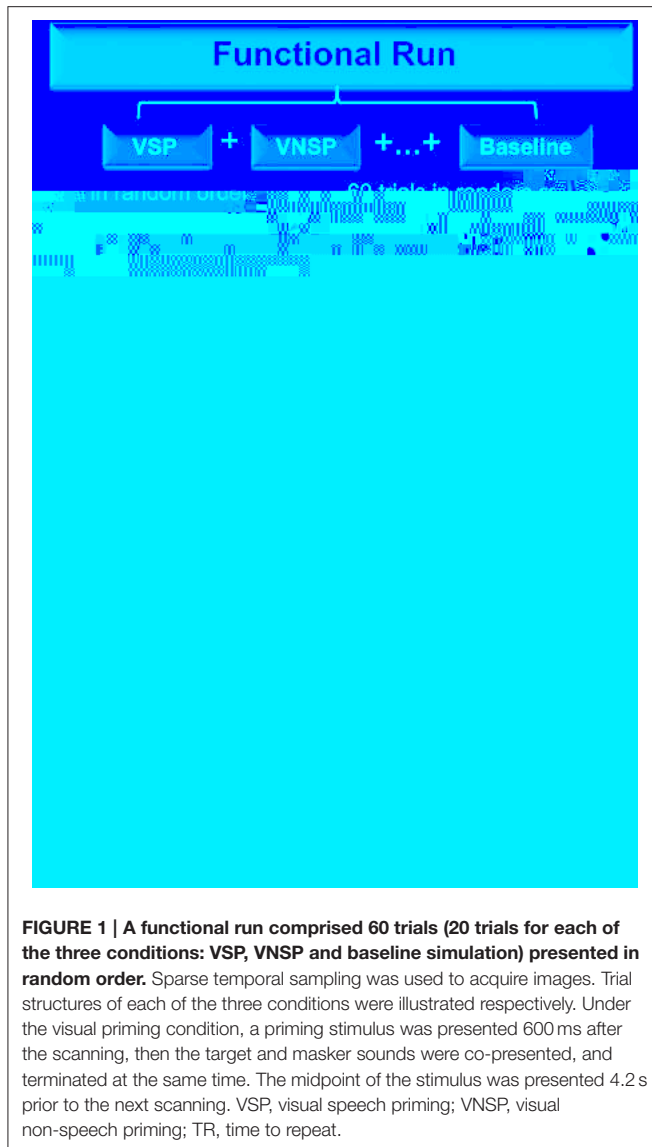
<sup>a</sup>Two patients received both typical and atypical antipsychotic medications.

The masking-speech stimuli were a 47-s loop of digitally-combined continuous recordings for Chinese nonsense sentences (whose keywords did not appear in target sentences) spoken by two different young female talkers (Yang et al., 2007). In a trial, the masker started at a random position of the loop and the duration of the masker was adjusted equal to that of the target phrase.

There were two types of visual priming stimuli: (1) (lipreading) visual-speech priming (VSP) stimuli, whose associated content and duration were identical to those of their corresponding target phrases; (2) (lipreading) visual non-speech priming (VNSP) stimuli, whose facial movements were not related to any speech content (i.e., alternations of mouth-open and mouth-close movements, used as the control stimulation condition for the VSP-stimulation condition; Calvert et al., 1997; Calvert and Campbell, 2003) and whose durations were also identical to those of the following target phrases. To minimize the facial identity effect, only the lower half part of the priming talker's face was displayed (Wu et al., 2013a,b). The duration of visual priming stimuli were identical to that of target speech stimuli.

As the general controlling condition for both the VSP condition and the VNSP condition (to control both the facial features and the auditory non-speech features for VSP and VNSP conditions), a baseline-stimulation condition was introduced with presenting both a still face (duration = 2,200 ms) and a period of silence (duration = 2,200 ms) (Figure 1). The same talker's face was used for both the 2 priming conditions and the baseline-stimulation condition.

The whole-course scanning consisted of a 10-min visual-priming functional run and an 8-min structure-scanning run. An event-related fMRI design was used for the functional run.



In total, there were 60 scanning trials for the functional run (20 trials for each of the 3 conditions: VSP, VNSP, and baseline stimulation). For an individual participant, the 60 trials across the 3 conditions were presented with a random order.

The sparse-temporal imaging strategy was used to avoid the effect of machine scanning noise: the acoustic stimulus presentation was temporally positioned so that the stimulus midpoint was 4,200 ms before the onset of the next scanning. Thus, the stimulus-evoked hemodynamic responses peaked during the scanning period (Wild et al., 2012; Zheng et al., 2016).

The sound pressure level of target speech was 60 dB SPL (after attenuation by earplugs) in the fMRI experiment, and the signal-to-masker ratio (SMR) was set as  $-4$  dB.

In a scanning trial under either the VSP or VNSP condition (Figure 1), the priming stimulus was presented 600 ms after the offset of the last scanning trial. Immediately after the prime presentation, the target and masker were presented and

terminated simultaneously. To maintain participants' attention to the stimuli, at the end of a trial (after the acoustic stimuli were presented), participants were instructed to use button pressing with their right index finger to indicate whether the pre-presented lipreading prime was matched to the target phrase or not. Scores of button-pressing was recorded. A brief training was provided to ensure that participants understood the instructions and knew how to conduct their button-pressing responses. Before the fMRI experiment and the behavioral testing, participants also received a specific training to distinguish VSP stimuli from VNSP stimuli. Speech stimuli used in training were different from those used in formal experiments.

## Equipment

During fMRI scanning, acoustic stimuli were presented through a magnetic resonance-compatible pneumatic headphone system (SAMRTEC, Guangzhou, China) driven by Presentation software (Version 0.70). Visual stimuli were presented through a liquid-crystal-display screen positioned on the head-coil (SAMRTEC, Guangzhou, China). A 3.0-Tesla Philips Achieva MRI scanner (Veenpluis 4-6,5680 DA Best, Netherlands) was used to acquire blood oxygenation level dependent (BOLD) gradient echo-planar images (spatial resolution:  $64 \times 64 \times 33$  matrix with  $3.44 \times 3.44 \times 4.6$  mm<sup>3</sup>; acquisition time: 2,000 ms; time to repeat: 9,000 ms; echo time: 30 ms; flip angle: 90°; field of view:  $211 \times 211$  mm). It provided high-resolution T1-weighted structural images ( $256 \times 256 \times 188$  matrix with a spatial resolution of  $1 \times 1 \times 1$  mm<sup>3</sup>, repetition time: 8.2 ms; echo time: 3.8 ms; flip angle: 7°).

## fMRI Data Processing and Analyses

### Pre-processing

All fMRI data were processed and analyzed using the Statistical Parametric Mapping (SPM8, the Wellcome Trust Centre for Neuroimaging, London, UK). The pre-processing of data included the following stages: (1) The functional images were corrected for head movements. (2) The anatomical images were co-registered with the mean realigned images and were normalized to standard template (ICBM space) using the SPM8 unified segmentation routine. (3) All functional images were warped using deformation parameters generated from the normalization process, including re-sampling to a voxel size of  $3.0 \times 3.0 \times 4.0$  mm<sup>3</sup>. (4) Spatial smoothing was conducted using a Gaussian kernel with 8 mm full-width at half maximum (FWHM). Due to the long TR of this sparse-imaging paradigm, no slice timing correction was necessary.

### Whole Brain Analyses

Random effect analyses contained two processing levels. At the first level, the onsets and durations for the functional run were modeled using a General Linear Model (GLM) according to the condition types. Three conditions (VSP, VNSP, and the baseline) were included in the model. Time series on the six realignment parameters of head movement were also included as regressors of no interest in the GLM design matrix to account for residual movement-related effects (Friston et al., 1996). Contrasts of "VSP > baseline," "VNSP > baseline" and "VSP > VNSP" were made for each participant at the first

level. At the second level, random-effect analyses were conducted based on the statistical parameter maps from each individual participant to allow population inference. Contrast images of “VSP > baseline,” “VNSP > baseline” from the first-level analysis in each participant were entered into the second-level full-factor 2 (group: control, patient) by 2 (condition: “VSP > baseline,” “VNSP > baseline”) ANOVA to detect interaction between group and priming type. Contrast images of “VSP > VNSP” from the first-level analysis in each participant were entered into a second-level two-sample *t*-test to explore the group differences in brain activation induced by VSP directly. For the whole-brain analyses, peak signals that were statistically significant at *p*-value less than 0.05 [False Discovery Rate (FDR) corrected] were reported.

### Region-of-Interest (ROI) Analyses

As mentioned above, the contrast of “VSP > VNSP” was computed to map the brain regions that were activated by the processing of the speech lipreading-induced priming (the VSP). These brain regions were called VSP-activated brain regions.

ROI analyses and correlation analyses were conducted to identify the VSP-activated brain regions that were also correlated to the “VSP effect” of speech recognition in the behavioral testing (the difference in percent correct of target-speech recognition between the VSP condition and the VNSP condition). More in detail, first, based on the group mean “VSP > VNSP” contrast ( $p < 0.05$ , FDR corrected), a functionally-defined ROI was a sphere with a radius of 5 mm centered at MNI coordinates of peak activation. In addition, the parameter estimates of signal intensity of each ROI under each condition were extracted from each individual participant (MarsBaR: region of interest toolbox for SPM; <http://marsbar.sourceforge.net/>). Moreover, for each ROI, the contrast value (CV) for the speech-lipreading priming process (i.e., the parameter estimate difference between the VSP condition and the VNSP condition) was calculated (Wild et al., 2012). Finally, partial correlation analyses (age, hearing threshold, education level, and sex were the covariates) were conducted using SPSS 16.0 software to investigate the correlation between the brain activation induced by the “VSP > VNSP” contrast in the fMRI measuring and the unmasking effect of the VSP stimulus in the behavioral testing.

### Functional Connectivity Analyses: Psychophysiological Interaction

Psychophysiological interaction analyses (Friston et al., 1997) were conducted to identify brain regions that showed significantly increased or reduced covariation (i.e., functional connectivity) with the seed region activity related to the VSP (VSP > VNSP) effect in both healthy participants and participants with schizophrenia.

For both healthy participants and participants with schizophrenia, the seed ROI was defined in the brain region that exhibited more activation in healthy participants than that in people with schizophrenia from the whole brain ANOVA analyses. The seed ROI in each individual participant was defined as a sphere with 5-mm-radius centered at the peak MNI coordinate in the seed region. First, the time series of seed region were extracted, and the PPI regressors which reflected

the interaction between psychological variable (VSP vs. VNSP) and the activation time course of the seed ROI were calculated. Second, the individual contrast images (regressors) were subsequently subjected to the second-level one-sample *t*-tests in each of the participant groups to identify the brain regions showing increased co-variation with the activity of the seed region in analyses of the VSP condition vs. the VNSP condition. Finally, contrast images of each participant in the control group and patient group were entered into the second-level two-sample *t*-tests for group comparisons. In PPI analyses, peak signals that were statistically significant at *p*-value less than 0.05 (FDR corrected) were reported.

### Behavioral Testing

The behavioral testing was conducted after the fMRI scanning experiment. Acoustic signals, as used in the fMRI experiment, were calibrated by a sound-level meter (AUDit and System 824, Larson Davis, USA) and delivered from a notebook-computer sound card (ATI SB450 AC97) to participants via headphones (Model HDA 600). The target-speech level was 60 dB SPL and the SMR was either  $-4$  or  $-8$  dB. There were two within-subject variables: (1) priming type (VSP, VNSP), and (2) SMR ( $-8$ ,  $-4$  dB). For each participant, there were 4 testing conditions and 20 trials (also 20 target-sentence presentations) for each condition. The presentation order for the 4 conditions (i.e., the 4 combinations of priming type and SMR) were partially counterbalanced across participants using a Latin square order.

In a trial, the participant (who was seated at the center of a quiet room) pressed the “Enter” key on a computer keyboard to start the presentation of the visual priming stimulus. Immediately after the presentation of the visual priming stimulus, the target phrase was co-presented with the masking speech (the target and masker began and terminated at the same time). After the masker/target co-presentation, the participant was instructed to loudly repeat the whole target phrase as best as he/she could. The experimenters, who sat quietly behind the participant, scored whether each of the two syllables of the keywords in the target phrase had been identified correctly. In the behavioral testing, the unmasking effect of VSP was defined as the difference in percent correct of target speech recognition between the VSP-listening condition and the VNSP-listening condition averaged across SMRs. Analyses of variance (ANOVA) were performed using SPSS 16.0 software. The null hypothesis was rejected at the level of 0.05.

## RESULTS

### The Unmasking Effect of VSP on Speech-Recognition Performance

Figure 2 (upper panel) shows comparisons in group-mean percent-correct recognition of the two target keywords between the healthy participants and participants with schizophrenia under the VSP condition and the VNSP condition, respectively. The 2 (group: control, patient) by 2 (priming type: VSP, VNSP) ANOVA showed that the main effect of group was significant [ $F_{(1, 72)} = 90.302$ ,  $p < 0.001$ ,  $\eta^2 = 0.559$ ], the main effect of prime was significant [ $F_{(1, 72)} = 4.548$ ,  $p = 0.036$ ,  $\eta^2 = 0.059$ ], and

the interaction between group and priming type was significant [ $F_{(1, 72)} = 4.817, p = 0.031, \eta^2 = 0.063$ ]. Obviously, healthy participants had better speech-recognition performance than patient participants.

The control group, but not the patient group, was able to use the lipreading cue to improve target-speech recognition ( $p = 0.002$  for the control group, and  $p = 0.965$  for the patient group). **Figure 2** (lower panel) and Figure S2 show that the VSP effect (difference in percent correct of target speech recognition between the VSP condition and the VNSP condition) was significantly higher in healthy participants than that in participants with schizophrenia ( $t = 3.519, p = 0.001$ ; Cohen's  $d = 1.13$ ; 95% CI: 0.43–1.84). Figure S1 also shows the significantly lower percent correct of button-pressing response

for patients than that for healthy participants during fMRI scanning ( $t = 5.507; p < 0.001$ ).

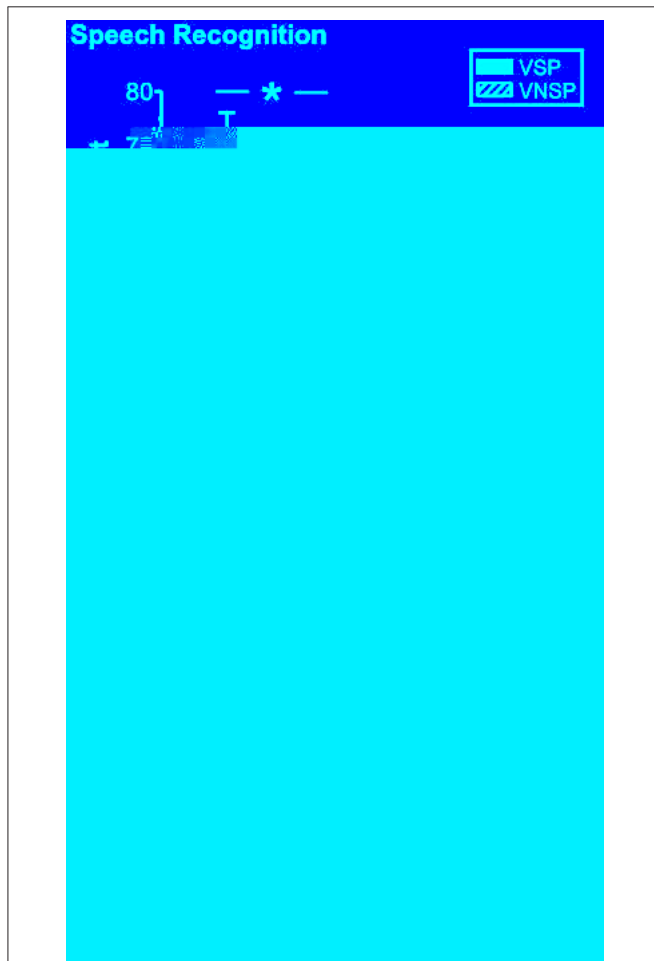
## Brain Substrates Associated with the Unmasking Effect of VSP in Healthy Participants

### Brain Regions Activated by the VSP > VNSP Contrast

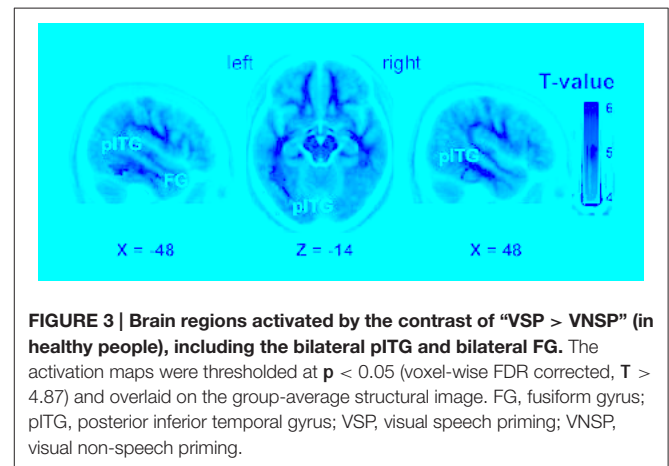
The “VSP > VNSP” BOLD contrast was used to determine the brain regions that were activated by the VSP-stimulation condition. The results showed that in healthy participants, but not participants with schizophrenia, compared to the VNSP condition, introducing the VSP condition significantly enhanced BOLD signals in the bilateral posterior inferior temporal gyrus (pITG) and bilateral fusiform gyrus (FG) ( $p < 0.05$ , voxel-wise FDR corrected) (**Figure 3, Table 2**). These 4 brain regions are called “VSP-activated brain regions”.

### VSP-Activated Brain Regions That Were Specifically Correlated to the Unmasking Effect of VSP

To further search for the VSP-activated brain regions that were specifically associated with the (behavioral) unmasking effect of VSP in the behavioral testing (so-called “unmasking-correlated brain regions”), the parameter estimates of signal intensity of each of the four VSP-activated brain ROIs (i.e., left pITG, right



**FIGURE 2 | Upper panel:** Comparisons in group-mean percent-correct recognition of the two target keywords against speech masking between the control group and the patient group under the VSP listening condition and the VNSP listening condition (averaged across SMRs of  $-4$  and  $-8$  dB). **Lower panel:** Difference in the group-mean unmasking effect (VSP effect: difference in percent correct of target speech recognition between VSP and VNSP conditions) between healthy participants and people with schizophrenia (averaged across SMRs of  $-4$  and  $-8$  dB). Error bars indicate the standard errors of the mean. VSP, visual speech priming; VNSP, visual non-speech priming. \* $p < 0.05$ , \*\* $p < 0.01$ .



**FIGURE 3 | Brain regions activated by the contrast of “VSP > VNSP” (in healthy people), including the bilateral pITG and bilateral FG.** The activation maps were thresholded at  $p < 0.05$  (voxel-wise FDR corrected,  $T > 4.87$ ) and overlaid on the group-average structural image. FG, fusiform gyrus; pITG, posterior inferior temporal gyrus; VSP, visual speech priming; VNSP, visual non-speech priming.

**TABLE 2 | MNI coordinates of the brain regions associated with the contrast of the visual speech priming (VSP) condition against the visual non-speech priming (VNSP) condition in healthy participants.**

Coordinates			Statistics				Location
X	Y	Z	k	T	Z-score	$P_{FDR-corr}$	
-48	-43	-14	66	5.73	4.70	0.012	L pITG
48	-40	-14	51	5.61	4.62	0.012	R pITG
45	-43	-22	58	5.57	4.60	0.012	R Fusiform
-33	-13	-26	82	4.87	4.16	0.014	L Fusiform

Peaks were significant at  $p < 0.05$  (voxel-wise FDR corrected with an extent threshold of more than 40 voxels;  $T > 4.87$ ) are shown in the table. MNI coordinates, k (number of voxels), T-value, and Z-scores and corrected P-values are provided. pITG, posterior inferior temporal gyrus; L, left; R, right.

pITG, left FG, and right FG), which was defined by a sphere with a radius of 5 mm centered at peak MNI coordinates based on the “VSP > VNSP” contrast (see **Figure 3** and **Table 2**), were extracted and the contrast value (CV) for the “VSP > VNSP” contrast was calculated for each individual participant (Wild et al., 2012). Then, the correlation between the VSP-induced (behavioral) improvement of target-speech recognition (with age, sex, hearing threshold, and educational level controlled) and each CV (for each of the 4 ROIs) was examined.

The results showed that significant correlation occurred only between the VSP-induced CV of the left pITG and the VSP-induced improvement of target-speech recognition ( $r = 0.611$ ,  $p = 0.012$ ) (**Figure 4**). Thus, the left pITG was recognized as the brain region specifically related to the VSP-unmasking effect (i.e., the unmasking-correlated brain region).

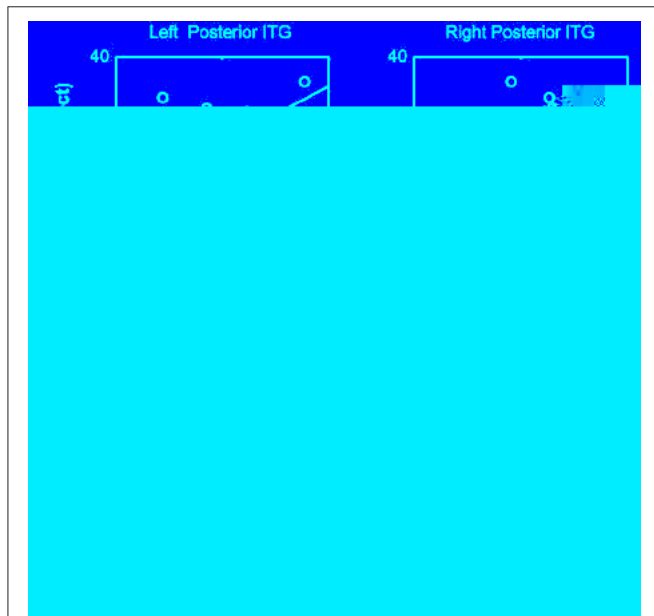
### Differences in BOLD Signals Induced by VSP between Healthy Participants and Participants with Schizophrenia

The whole brain ANOVA analyses revealed no interaction between group and the priming type. The main effect of priming type was not significant [at the  $p < 0.001$  (uncorrected)]. The contrast assessing the main effect of group (patient vs. control) revealed the significantly reduced activation in the bilateral triangularis of inferior frontal gyrus (TriIFG), left postcentral, left superior temporal sulcus (STS), left caudate, left fusiform, left

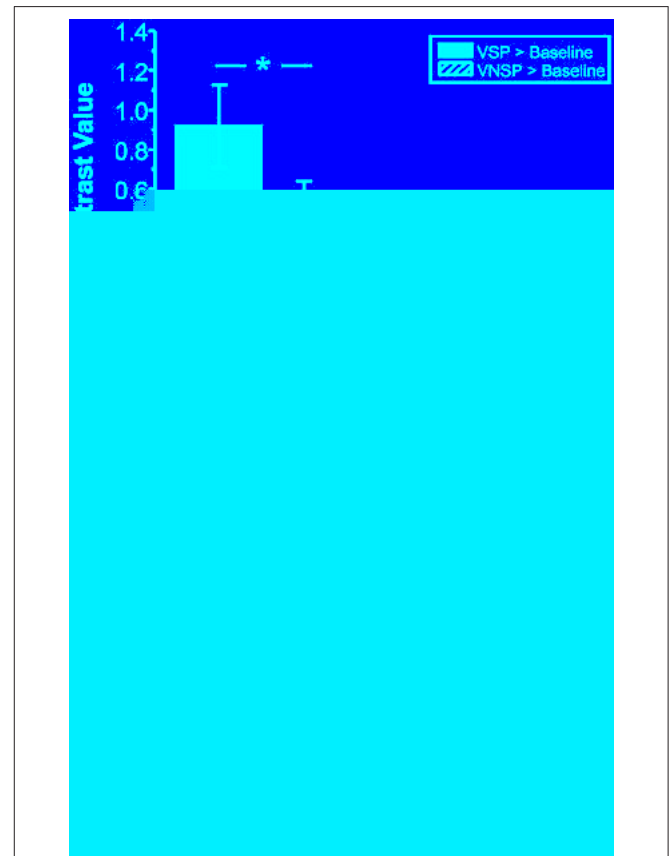
pITG, right precentral, and right thalamus (see **Figure S3**, and **Table S1**) (F-contracts are significant at  $p < 0.05$  with voxel-wise FDR correction).

To test the difference in VSP-induced percent BOLD signal change in left pITG (which was discovered as an unmasking correlated brain region) between participants with schizophrenia and healthy participants more directly, the contrast value of VSP > Baseline, VNSP > Baseline were calculated and compared between the two participant groups. The ANOVA showed that the main effect of the group [ $F_{(1, 72)} = 29.669$ ,  $p < 0.001$ ,  $\eta^2 = 0.29$ ] and the priming type [ $F_{(1, 72)} = 4.289$ ,  $p = 0.042$ ,  $\eta^2 = 0.056$ ] on BOLD signal in left pITG were significant, and the interaction was not significant [ $F_{(1, 72)} = 2.768$ ,  $p = 0.100$ ] (**Figure 5**, upper panel). The VSP-induced contrast value (VSP > VNSP) was significantly lower in the patient group than that in the control group [ $F_{(1, 36)} = 12.649$ ,  $p = 0.001$ ; Cohen's  $d = 1.51$ ; 95%CI: 0.75–2.26] (**Figure 5**, lower panel).

In this study, we also computed the frame-wise displacement (FD) for each time point in each participant and tested the



**FIGURE 4 |** For healthy people, significant correlation occurred between the unmasking effect of VSP (difference in percent correct of target speech recognition between the VSP condition and the VNSP condition averaged across SMRs) and the intensity of VSP-induced brain regional activity (the contrast value of VSP > VNSP) in left pITG (with age, hearing threshold, education level and sex controlled), but not that in the right ITG, left FG, or right FG. FG, fusiform gyrus; pITG, posterior inferior temporal gyrus; VSP, visual speech priming; VNSP, visual non-speech priming.



**FIGURE 5 |** Compared to healthy control group, patient group exhibited lower BOLD signal (contrast value) in the left pITG under either the VSP listening condition (VSP > Baseline) or the VNSP listening condition (VNSP > Baseline) (upper panel). Compared to healthy control group, People with schizophrenia showed lower group-mean BOLD signal in the left pITG induced by VSP (contrast value of VSP > VNSP) (lower panel). VSP, visual speech priming; VNSP, visual non-speech priming. \* $p < 0.05$ , \*\* $p < 0.01$ .

group difference in FD between controls and people with schizophrenia. Motion-related artifact might impact findings for group difference (Yan et al., 2013), even though task-fMRI is much more tolerant to head motion than rest-fMRI (Friston et al., 1996), particularly when the presence of motion-related noise or the motion itself is unrelated to the task. We did not find significant difference in mean FD at each time point between controls and patients (see Figure S4). Moreover, for the group comparison, we have computed the data with individual mean FD included as a regressor of no interest. The group difference with individual mean FD regressed out were very similar to those without individual mean FD regressed out. Thus, in this study we reported the results without the mean FD regressed out.

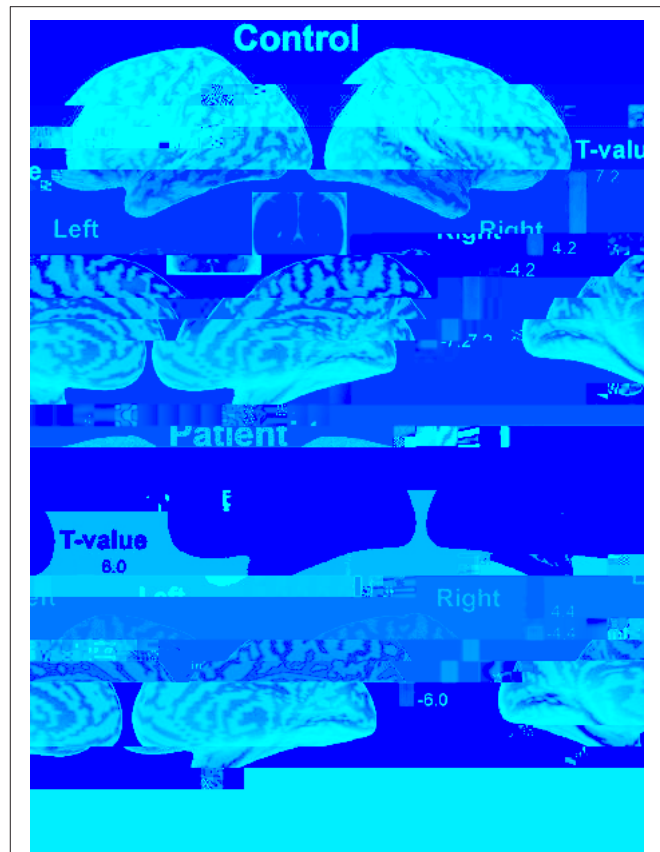
### Functional Connectivity of the Left pITG Associated with the Reduced VSP Effect in Participants with Schizophrenia

A psychophysiological interaction analysis was conducted to examine the differential functional connectivity of the left pITG under the VSP condition compared to the VNSP condition between healthy participants and participants with schizophrenia. For both healthy participants and participants with schizophrenia, the seed ROI of left pITG was defined as the brain region which exhibited more activation in healthy participants than patients, with the coordinate of the peak activation of  $[-36, -52, -18]$ .

In healthy participants, enhanced functional connectivity with the left pITG for the “VSP vs. VNSP” contrast occurred in the bilateral STG, bilateral medial superior frontal gyrus (mSFG), bilateral cerebellum, left precentral cortex, left postcentral cortex, left opercularis IFG, left insular, left SupraMarginal and left supplementary motor area (SMA), and right middle frontal gyrus (MFG). On the other hand, reduced functional connectivity was observed in the right posterior STG and right middle occipital cortex (Figure 6 upper panel and Table S2).

In participants with schizophrenia, enhanced functional connectivity with the left pITG for the “VSP vs. VNSP” contrast was observed in the left SFG. Reduced functional connectivity was observed in the bilateral rolandic operculum, left fusiform, left lingual, right supra-marginal area, and right thalamus. Thus, participants with schizophrenia showed a different whole brain pattern of functional connectivity with left pITG for the contrast of the VSP condition vs. the VNSP condition (Figure 6 lower panel, also see Table S2).

To explore the difference in functional connectivity with the left pITG associated with the “VSP > VNSP” contrast between healthy participants and participants with schizophrenia statistically, an independent two-sample *t*-test was conducted. Compared with healthy participants, reduced functional connectivity with left pITG induced by VSP was found in the bilateral rolandic operculum, bilateral STG, and left insular in participants with schizophrenia. No significantly enhanced functional connectivity was found in participants with schizophrenia relative to healthy participants (Figure 7 and Table 3).



**FIGURE 6 | Psychophysiological interaction analyses of the visual-speech priming effect (VSP > VNSP) for healthy participants (upper panel) and people with schizophrenia (lower panel).** The areas in hot color-map indicate the enhanced functional connectivity with the left pITG during “VSP > VNSP,” and the regions in cool color-map indicate the reduced functional connectivity with the left pITG during “VSP > VNSP.” The activation maps were thresholded at  $p < 0.05$  (voxel-wise FDR corrected,  $T > 4.88$ ) and displayed on a template brain surface of inflated cortex from SPM8. VSP, visual speech priming; VNSP, visual non-speech priming.

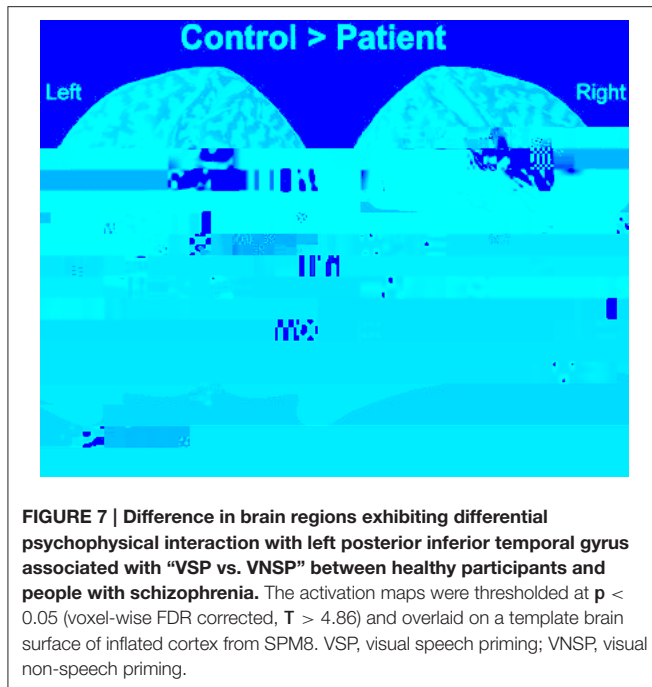
## DISCUSSION

This study, for the first time, investigated the brain substrates underlying the unmasking effect of VSP on target speech recognition in healthy people, and the mechanisms underlying the impaired unmasking effect of VSP in people with schizophrenia. The results suggest that the left pITG may play a critical role in mediating the unmasking effect of VSP in healthy people, and the behavioral reduction in the VSP effect in people with schizophrenia may be related to degraded activation and functional connectivity of the left pITG.

### Brain Regions Related to the Unmasking Effect of VSP

The results of behavioral testing in this study confirm previous reports that in a “cocktail-party” listening environment, compared to healthy listeners, listeners with schizophrenia show reduced ability in using temporally pre-presenting VSP cues to





(3) the central cross-modal integration between visual-speech (lipreading) signals and auditory-speech signals (including the perceptual matching between the phonological/semantic signals of visual lipreading and those of auditory target speech), and (4) the brain substrates for selective attention on target speech and suppression of irrelevant masking signals.

Evidence suggests that the ITG may be the only one brain region that is involved in all the four mechanisms essential to the unmasking effect of VSP: (1) Visual lipreading efficiently 804.322(e)1.83083(s)4.70831(s)-0.0561051(e)-0.0625539(n)0.219261(t)-0.246

**TABLE 3 | Difference in brain regions exhibiting differential connectivity with the left posterior inferior temporal gyrus associated with "VSP vs. VNSP" between patients with schizophrenia and healthy controls.**

Contrast	Coordinates			Statistics			Location
	X	Y	Z	k	T	Z-score	
Control > Patient	-57	-28	18	43	5.72	5.19	L STG
	-42	-28	14	87	5.63	5.12	L RO
	-36	-13	2	56	4.88	4.53	L Insular
	60	-19	18	260	5.68	5.15	R RO
	54	-25	2	41	5.58	5.08	R STG
Control < Patient <sup>a</sup>							

All peaks and clusters are significant at  $p < 0.05$  (voxel-wise FDR corrected with an extent threshold of more than 40 voxels;  $T > 4.86$ ). MNI coordinates, k (number of voxels), T-value, and Z-scores are provided. RO, Rolandic operculum; STG, superior temporal gyrus; L, left; R, right.

<sup>a</sup>No significant voxels were obtained at the threshold of  $p < 0.05$  with voxel-wise FDR correction.

improve target-speech recognition against speech masking (Wu et al., 2013a,b).

One of the important findings of this study is that among the 4 VSP-activated brain regions (left pITG, right pITG, left FG, right FG), only the VSP-induced activation of the left pITG is significantly correlated with the VSP-induced (behavioral) improvement of target-speech recognition across healthy listeners. The left pITG is therefore recognized as the "unmasking-correlated brain region."

Four essential neural mechanisms may simultaneously underlie the unmasking effect of VSP: (1) the brain substrates for processing speech information contained in lipreading, (2) the working memory system retaining VSP signals throughout the co-presentation of the target speech and the masking speech,

performing the silent lip-reading task (Surguladze et al., 2001). In this study, compared to that in healthy participants, activation of the left pITG induced by VSP was significantly reduced in people with schizophrenia, suggesting a schizophrenia-related functional damage to the left pITG. Clearly, the impaired functions of the left pITG, such as those of general encoding of visual symbolic gestures, visual working memory, multi-modal semantic processing, and visual selective attention, are all important issues in the investigation of schizophrenia.

In this study, in addition to those in the left pITG, reduced BOLD signals were also found in the bilateral TriIFG, left postcentral, left STS, left caudate, left fusiform, right precentral, and right thalamus. The results suggest that under speech masking conditions with visual priming, the impaired target-speech perception in people with schizophrenia may be related to lower activation in these brain areas that are involved in processing masked speech (Scott and McGettigan, 2013), speech production (Scott and McGettigan, 2013; Ding et al., 2016), semantic processing (Huth et al., 2016), or general face-feature processing (Rangarajan et al., 2014).

### **Functional Connectivity of the Left pITG Induced by VSP in Healthy People**

Psychophysiological interaction analyses conducted in this study showed that in healthy participants, the VSP-induced enhancement of functional connectivity occurred from the left pITG to a variety of brain structures, including the temporal areas (bilateral STG), frontal areas (bilateral mSFG, right MFG, and right IFG), sensor-motor cortices (SMA and supramarginal area), and insular.

The STG is an early stage in the cortical network for speech identification and perception (Hickok and Poeppel, 2004; Ahveninen et al., 2006; Scott and McGettigan, 2013). Both brain-imaging studies (Friederici et al., 2003; Ahveninen et al., 2006) and functional-lesion studies (Boatman, 2004) have shown that the STG is involved in speech perception at the phonetic, lexical-semantic, can

- (5) Future studies will add other multisensory integration tasks to the protocol described in this study to explore the brain network whose functional deficits are more specific to schizophrenia.

## AUTHOR CONTRIBUTIONS

CW: Experimental design, experiment set up, experiment conduction, data analyses, figure/table construction, paper writing. YZ: Experimental design, experiment set up, data collecting, data analyses, paper writing. JL: Experimental design, experiment set up, experiment conduction, data collecting, and paper writing. BZ: experiment conduction, data analyses. RL: Experiment conduction and data collection. HW: Experiment conduction and data collection. SS: Experiment conduction and data collection. SL: Experiment conduction and data collection. HP: Experiment conduction and data collection. YN: Experimental design, paper writing. LL: Experimental design, figure/table construction, paper writing.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <http://journal.frontiersin.org/article/10.3389/fnins.2017.00107/full#supplementary-material>

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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