# MIDBRAIN PATHWAYS FOR PREPULSE INHIBITION AND STARTLE ACTIVATION IN RAT

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Frankland and Yeomans, 1995). Ibotenate lesions or GABA agonist infusions at this midbrain level block fear-potentiated startle (

### Experiment 2: refractory periods for PPI in SC sites

The aim of this experiment was to test whether neurons mediating PPI in SC are similar in refractory periods to neurons previously found to mediate contraversive turning responses (Tehovnik and Yeomans, 1986). For PPI refractory period experiments, two prepulses were delivered to each SC site, and the C-T interval between the two prepulses was varied from 0.2–2.0 ms, while the ISI was held constant at 20 ms. We measured thresholds in the same manner described above, but the duration of the SC pulses was set at 0.1 ms in all tests to improve temporal resolution of refractory periods. For PPI refractory periods, however, a criterion of 1.5–3.0 V instead of 1.0–1.5 V was used for trigeminally elicited baseline responses, in order to increase the size of the PPI effect. Prepulse currents were then adjusted to maximize the difference in inhibition induced by a single prepulse as compared with twin pulses at the 1.75 ms C-T interval.

In the formal experiments, the C-T interval was varied pseudo-randomly at intervals of 0.3, 0.4, 0.5, 0.6, 0.8, 1.0, 1.3 and 1.75 ms. Inhibition induced by single pulses (no T pulse) was used as a baseline for comparison with double-pulse induced inhibition. Startle response were measured on six trials at each C-T interval, and six to 10 trials for single pulses.

## Experiment 3: refractory periods for startle elicitation in midbrain sites

For startle refractory period experiments, current was adjusted so that twin pulses spaced 2.0 ms apart would elicit a large startle response (5.0 V or above), but single pulses would only elicit a negligible startle response (0.1–0.4 V). As in PPI refractory period experiments, the duration of each pulse was held at 0.1 ms.

In the formal experiments, the C-T interval was varied pseudorandomly using intervals of 0.2, 0.3, 0.4, 0.5, 0.6, 0.8, 1.0, 1.5 or 2.0 ms. Startle responses were measured in six trials at each C-T interval and six to 10 trials for single pulses.

## Histology

At the end of behavioral testing, rats were deeply anesthetized with an overdose of sodium pentobarbital. Microlesions were made via the stimulation electrodes by an anodal DC current of 500  $\mu\text{A}$  with a duration of 10 s. Rats were then perfused intracardially with physiological saline followed by 10% formalin. The skulls were dissected away and the brains removed. Brains were stored in 10% formalin with 30% sucrose until they sank and then were sectioned coronally into 40  $\mu\text{m}$  sections in a cryostat at  $-20\,^{\circ}\text{C}$ . Sections were mounted directly onto slides and stained with Cresyl Violet for histological verification of electrode sites.

#### **RESULTS**

## Histology

Hindbrain electrode sites where startle responses were elicited were located in the ventrolateral medulla near the principal trigeminal nucleus, spinal trigeminal nucleus, pars oralis, or the facial tract, as reported previously (Li and Yeomans, 1999; Scott et al., 1999). To reach the criterion startle response of 1.5 V, currents from 40 to 220  $\mu$ A (one 0.2 ms pulse) were needed, with the lowest thresholds in the most ventral sites in the trigeminal nucleus (data not shown).

Electrode placements for 26 tectal and six PPT sites are shown in Fig. 1 on coronal sections from the Paxinos and Watson (2005) atlas. Startle was inhibited by prepulses in SC sites at currents from 60 to 250  $\mu$ A, and in

PPT sites at currents from 32 to 60  $\mu$ A in experiment 1. In experiment 2, SC currents ranged from 60  $\mu$ A (site 2L) to 300  $\mu$ A (site 7R), indicating low-threshold sites for PPI. PPI could not be obtained in many other sites shown in Fig. 1. Many of these negative sites were found in the rostralmost 0.8 mm of the SC, where no positive sites were found, and near the lateral and caudal edges of the SC. The lowest threshold SC sites were all found in the intermediate layers.

Effective PPI sites in rostral and mid-SC were concentrated in a cluster between 6.2–7.5 mm caudal to bregma, and 0.8–2.2 mm lateral to the midline (Fig. 1). The lowest threshold sites for PPI were located in the middle of this cluster, in retinotopic areas of SC receiving input from visual fields ventrolateral to or near the optic disc (Siminoff et al., 1966). Sites where PPI was not obtained were found in most cases near the rostral and caudal edges of the SC, corresponding to dorsal or peripheral visual fields.

In three caudal SC sites, however, low threshold PPI sites (100–120  $\mu\text{A})$  were found near the ICN (7.3–8.3 mm behind bregma) as previously reported by Silva et al. (2005). In deeper sites near the PPT (7.8 mm behind bregma) PPI thresholds were by far the lowest (30  $\mu\text{A}$  for 17R and 52  $\mu\text{A}$  for 17L). In experiment 1 below, the currents required for PPI in three ICN sites and two PPT sites ranged from 30 to 120  $\mu\text{A}$ , with the low currents indicating localization of the substrates for PPI near the electrode tips.

Full startle-like responses (amplified responses greater than 1 V) could be elicited at currents between 500 and 1000  $\mu$ A in all sites, even those where PPI could not be elicited at 250  $\mu$ A.

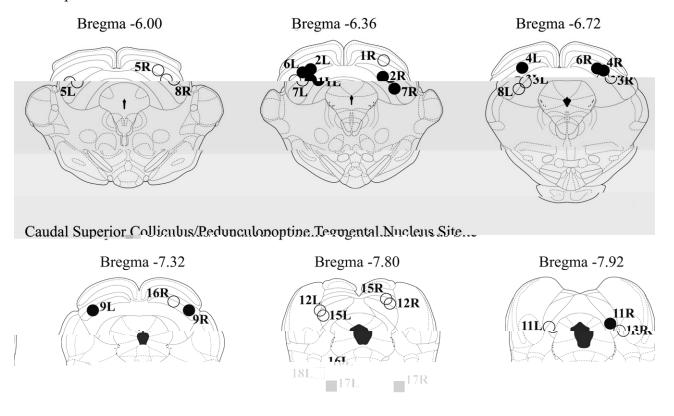
## **Experiment 1: PPI timing curves**

To study the timing of PPI onset, the interval between tectal stimulating pulses was held constant, and the ISI between the first tectal pulse and the trigeminal startling pulse was varied from 0 to 30 ms. Results for six low-threshold mid-SC sites (located between 6.2 and 7.0 mm caudal to bregma) are shown in Fig. 2A. The 100% baseline level is the mean startle response elicited by trigeminal stimulation alone. At ISIs from 0 to 6 ms, the effects of tectal and trigeminal stimulation summed to22 a larger startle response (95–168% of baseline, mean 130% across all intervals). At ISIs from 10 to 30 ms, startle was strongly inhibited by tectal stimulation, with PPI increasing gradually as ISIs increased from 10 to 20 ms.

To describe the PPI latency for each curve with a single statistic, the maximum PPI was determined (at an ISI of 20 or 30 ms, whichever produced maximum inhibition) and the half-maximum PPI was estimated by the startle level halfway between the 100% baseline level and the maximum inhibition. These half-maximum inhibition levels were near 60% for most curves.

The ISI at which half-maximum PPI was achieved in mid-SC sites ranged from 11.2–17.5 ms, with a mean of  $13.4\pm2.2$  ms across all mid-SC sites. By contrast, in five caudal sites (three sites near the ICN and two deeper sites near the PPT), PPI latency was between 7.8 and 10.0 ms

## Mid-Superior Colliculus Sites



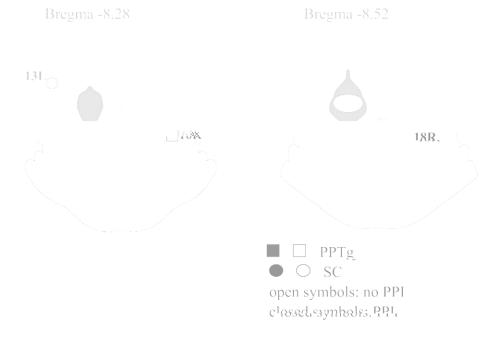


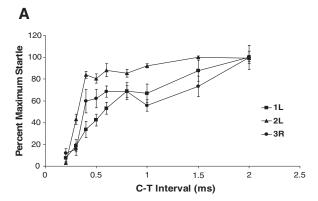
Fig. 1. Histology sections from Paxinos and Watson (2005) atlas, showing SC and PPT sites. Sites where PPI could not be obtained are shown with open circles or squares. SC sites where PPI was obtained are shown with solid circles. PPT sites where PPI was obtained are shown with solid squares.

in all sites (mean  $8.9\pm1.2$  ms) (Fig. 2B). Therefore, PPI latencies for caudal sites were always earlier than for mid-SC sites, with a mean difference of 4.5 ms.

## Experiment 2: refractory periods for PPI in SC sites

To estimate the refractory periods of the neural substrates mediating PPI, the interval between the two SC prepulses was varied from 0.3–1.75 ms. SC pulse durations were shortened to 0.1 ms to improve temporal resolution of refractory periods. The 100% baseline in Fig. 3 is the startle response elicited by a single SC prepulse and a single trigeminal startling pulse.

The effect of adding the second SC prepulse (the T pulse) was to



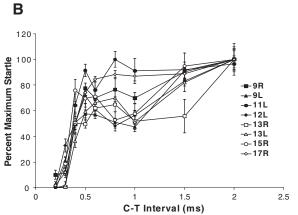


Fig. 4. Refractory periods for startle elicitation in rostral SC sites (A) and caudal SC, ICN or PPT sites (B). Startle response was normalized according to the following calculation: Percent Maximum Startle Response=[Double-pulse response at each C-T interval—Single-pulse response]/[Maximum Double-pulse response—Single-pulse response]×100%. This statistic varies from 0 (the single-pulse response level) to 100% (the maximum double-pulse response level at a C-T interval of 2.0 ms) to show the added effect of the T pulse at each C-T interval

startle and PPI (Li et al., 1998; Fendt et al., 1994, 2001; Silva et al., 2005; Heldt and Falls, 2003). Here we have separated these opposing substrates in three ways. First, we mapped the effects of different currents in different SC layers to sort out the anatomy of SC substrates for electrically elicited startle, as has previously been accomplished for approach and avoidance turns in rats (Sahibzada et al., 1986; Yeomans and Tehovnik, 1988). Second, we used PPI latency analysis to differentiate PPI substrates in rostral and mid-SC from those in caudal SC, IC and PPT. Third, we used double-pulse stimulation of SC to show different refractory periods for neurons mediating PPI than for startle activation.

Stimulation of many mid-SC sites in the intermediate layers inhibited startle at currents below 250  $\mu$ A. The lowest threshold SC sites for PPI were near or ventrolateral to the retinotopic location of the optic disc in rats (Siminoff et al., 1966). Previous studies of these SC regions in rats showed that approach responses rather than avoidance responses were activated at similarly low currents (Sahibzada et al., 1986). This suggests that startle is inhibited best by low-current stimuli that activate approach

turns, rather than high-current, large-field stimuli ("looming" or threatening stimuli) that activate avoidance turns (Ingle, 1983; Dean et al., 1989). Startle activation occurred only at high currents above 500  $\mu$ A, in all SC sites, consistent with activation of large sensory fields, in all SC layers, simulating large, threatening stimuli.

Second, the latencies of PPI for the low-threshold SC sites mediating startle inhibition were long (13.4 ms). These PPI latencies were much longer than for caudal SC, ICN or PPT sites (8.9 ms) or for IC sites (9.5 ms) previously studied by Li et al. (1998). These results show that PPI elicited from IC sites inhibits startle more quickly than PPI from mid-SC sites. This shows that the serial circuit model of PPI cannot be correct, and that SC must provide a slower input for PPI, independent of the faster auditory pathway for PPI from IC.

Finally, refractory period tests showed that at least three different neural populations near SC alter startle responses. Neurons mediating PPI at low currents in the intermediate layers of SC had a narrow range of moderate refractory periods (0.4–1.0 ms). Neurons mediating startle at high currents had very short refractory periods (0.3–0.5 ms) in all SC sites. Very long refractory period neurons (1.0–2.0 ms) also activated startle in many SC sites at currents above 500  $\mu$ A.

### **Experiment 1: PPI onset latencies**

We used the same method as Li and Yeomans (2000) and Li et al. (1998) here, to allow direct comparisons between PPI latencies in the 3 studies. Single-pulse stimulation of trigeminal sites was used to elicit startle with maximal temporal precision, presumably a single volley of action potentials, more precise than an acoustic startling stimulus that reverberates and can elicit several action potentials.

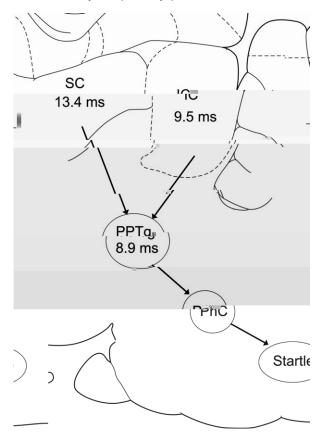
Summation between prepulses and startling pulses (indicated by startle responses greater than 100%) was observed in most sites at ISIs from 0 to 6 ms, with mean summation of 130%. These summation effects were not included in the analysis of PPI, because the neural circuits between SC and trigeminal sites that might mediate this summation are not known.

PPI increased sharply as ISIs increased from 5 to 20 ms in all caudal sites, and 10-20 ms in all rostral sites. PPI latencies (measured by half-maximal PPI) were much shorter in caudal SC, ICN and PPT sites here (mean 8.9 ms), and in IC sites previously (9.5 ms) (Li et al., 1998), than in mid-SC sites (13.4 ms). The 8.9 ms required to conduct PPI from PPTg to PnC is consistent with the slow conduction velocity of unmyelinated mesopontine cholinergic axons believed to inhibit PnC giant neurons (Fendt and Koch, 1999). The low currents needed in PPT and caudal SC sites support the idea that short-latency neural substrates for PPI pass through the IC, ICN and PPT (Silva et al., 2005). The similar latencies for PPI in these many sites, suggest a close functional relationship between these sites, with a short conduction time (0.6 ms from IC to PPT) between sites. Whether the same uncrossed axons mediate PPI from IC, ICN and caudal SC sites, or whether a synapse for PPI is located in ICN or PPT is not tested by this experiment, however.

This suggests a faster auditory pathway mediates PPI from IC to PPT, and a 4–5 ms slower pathway mediates multisensory PPI from mid-SC sites. Consistent with this idea, acoustic stimuli activate PPT neurons in rats at very short latencies (13 ms), long before visual stimuli activate PPT (30–50 ms) (Reese et al., 1995; Garcia-Rill et al., 1996; Pan and Hyland, 2005). Based on this, we propose a new model of PPI (Fig. 5) in which the fast IC auditory pathway relays prepulses quickly to PPT, while the slower multisensory SC pathway takes 4–5 ms more to reach the PPT.

This new model also accounts for the weak effects of SC lesions on acoustic PPI (Fendt et al., 1994). Since most acoustic input for PPI relays quickly from IC to PPT via the fast auditory pathway, SC lesions only block the smaller proportion of auditory information that relays to SC and then via the slower SC pathway to PPT. We predict (following Fendt et al., 2001) that SC lesions will block PPI mediated by visual prepulses, while IC lesions will have no effect.

The powerful inhibiting effect of excitotoxic lesions in PPT on acoustic PPI of startle suggests that glutamatergic synapses near PPT cholinergic neurons are important for the fast auditory PPI pathway (Koch et al., 1993; Fendt et



**Fig. 5.** New model of PPI. The IC to PPT pathway mediates fast acoustic inputs for PPI, while the SC to PPT pathway mediates a slower pathway that integrates visual, acoustic and tactile inputs for PPI. Modified from Paxinos and Watson (1998).

al., 2001; Fendt and Koch, 1999; Swerdlow and Geyer, 1993). Whether this PPI effect is mediated by a crossed pathway (as for turning) or by bilateral pathways (to inhibit both sides of the bilateral startle reflex) cannot be determined by the present data. Also, given the 4.5 ms latency difference, the number of synapses in the PPI circuit between SC and PPT cannot be determined. Since the refractory periods for PPI have been measured here, circuits mediating PPI can now be tested by collision methods (Yeomans 1990, 1995).

## Experiment 2: refractory periods for PPI in middle SC sites

These studies are the first to estimate refractory periods for startle inhibition. Inhibitory refractory periods have been estimated previously in other systems (Dennis et al., 1976; Skelton and Shizgal, 1980). The inhibitory effect of SC stimulation on trigeminally elicited startle increased as C-T intervals increased from 0.4–1.0 ms. These results indicate that refractory periods for SC neurons mediating PPI are concentrated in the moderate range.

Previously, electrical stimulation of SC intermediate layers elicited contraversive turning responses at C-T intervals from 0.4–2.0 ms, with 65% of the effect occurring between 0.4–1.0 ms (Tehovnik and Yeomans, 1986). In addition, refractory periods of 11 crossed tectoreticulospinal axons were found to range from 0.4–1.8 ms with 73% in the 0.4–1.0 ms range. These refractory periods were measured by extracellular recording of intermediate layer SC neurons following antidromic stimulation of axons in the contralateral tegmentum (Tehovnik and Yeomans, 1986). Therefore, crossed tectoreticulospinal systems mediating approach turns in rats have similar locations in SC and similar neural refractory periods to those mediating PPI in intermediate layer SC sites.

The low currents used to elicit PPI in middle SC sites  $(60-300~\mu\text{A})$ , experiments 1 and 2) provide an estimate of the field of stimulation. Based on Tehovnik and Yeomans' (1986) estimate of the current–distance relationship for tectoreticulospinal axons mediating turning (which have similar excitability properties to those for PPI), the maximum radius of stimulation is 0.75 mm at 300  $\mu\text{A}$  and 0.15 mm at 60  $\mu\text{A}$  (for site 2L, located in the middle of the intermediate layers of SC). This indicates that in the lowest SC threshold sites, the neural substrates for PPI were localized only to the intermediate layers of SC.

We previously proposed that PPI functions to inhibit startle responses during the execution of approach responses activated by SC and PPT neurons (Fendt et al., 2001). For example, foveation of a visual stimulus following SC activation would be disrupted by the eye closure that occurs during startle responses. Stimulation of SC intermediate layers activates responses that turn the animal toward novel, moderate intensity stimuli in the contralateral field via activation of the crossed tectoreticulospinal pathway (Dean et al., 1986; Yeomans and Tehovnik, 1988; Redgrave et al., 1993). The present data suggest that tectal neurons activating approach turns resemble those that mediate PPI. Accordingly, PPI is a useful con-

sequence of SC activation, in that PPI protects processing of sensory stimuli from the disruptive effects of startle.

## Experiment 3: refractory periods for startle in SC sites

At currents from 500 to 1000  $\mu$ A, startle responses were activated in all SC sites. This high-current stimulation is sufficient to activate low-threshold neurons in all SC layers.

When double-pulse stimulation was used, the refractory periods of neurons activating startle were concentrated in two C-T interval ranges, 0.3–0.5 and 1.0–2.0 ms. The rapid rise in startle observed as C-T interval increased from 0.3–0.4 ms was clearly shorter than the refractory periods for PPI, indicating that different neurons in SC mediate startle activation and PPI.

These refractory periods are consistent with the 0.3-0.5 ms refractory period neurons mediating startle elicited by midbrain stimulation (Frankland and Yeomans, 1995; Yeomans and Pollard, 1993; Lin et al., 2002). Zhao and Davis (2004) localized these cells to the deep layers of the SC lateral to periaqueductal gray and dorsal to the deep mesencephalic gray. These neurons have axonal conduction velocities greater than 50 m/s from midbrain to medulla, and mediate fear-potentiated startle via the amygdalofugal pathway to the midbrain (Yeomans and Pollard, 1993; Frankland and Yeomans, 1995). In contrast to the crossed SC axons mediating approach turns (Ingle, 1983; Dean et al., 1986, 1989; Yeomans and Buckenham, 1992), the axons mediating startle potentiation in SC are uncrossed and relay directly from the amyodala to the SC. and then from the SC to the medulla (Hitchcock and Davis, 1986; Yeomans and Pollard, 1993; Frankland and Yeomans, 1995; Lin et al., 2002).

Refractory periods of 1–2 ms were not found for tegmental stimulation (Yeomans and Pollard, 1993; Frankland and Yeomans, 1995) or here for the one PPT site tested. This suggests that the 1–2 ms refractory period effects are due to neurons located more dorsally within the SC. Yeomans and Buckenham (1992) studied ipsiversive, avoidance turns from SC sites following midline knife cuts to the crossed tectoreticulospinal path. They found a wide range of refractory periods (0.45–3 ms), including a population of long refractory period axons (1–3 ms) that conduct from the SC to the ipsilateral pons. The present data, therefore, suggest a possible link between avoidance turns and the long refractory period SC neurons activating startle.

At intermediate refractory periods (0.5–1 ms), startle was unchanged or weakly inhibited in most sites (Fig. 4). We attribute this inhibition of startle to stimulation of the PPI-mediating neurons of the intermediate layers. This effect was not seen by Yeomans and Buckenham (1992) when the crossed tectoreticulospinal axons were cut. The inhibitory PPI effects from 0.4–1 ms in experiment 2 were partially masked in experiment 3 by the startle activating effects from 0.3–0.5 ms, however. Inhibitory effects at 0.5–1 ms were also evident in caudal SC and ICN sites, suggesting that similar medium-refractory-period sub-

strates for PPI pass through these regions on their way to PPT (Fig. 5).

### **CONCLUSIONS**

Our data suggest that PPI is mediated by a fast auditory pathway that passes from the IC to the ICN/caudal SC and PPT, and a slower multisensory pathway that originates in the intermediate layers of the SC. This new model accounts for the latency differences between sites and for the partial effects of SC lesions on acoustic PPI. Refractory periods of the intermediate layer neurons in SC mediating PPI were found from 0.4–1 ms, similar to the crossed tectoreticulospinal neurons mediating contraversive, approach turns. These conclusions support the theory that PPI functions to inhibit startle responses during the several hundred ms required to execute approach turning and arousal responses (Fendt et al., 2001).

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