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# Understanding guilt-related interpersonal dysfunction in obsessive-compulsive personality disorder through computational modeling of two social interaction tasks

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#### Ab \_ac

**Background.** Obsessive-compulsive personality disorder (OCPD) is a high-prevalence personality disorder characterized by subtle but stable interpersonal dysfunction. There have been only limited studies addressing the behavioral patterns and cognitive features of OCPD in interpersonal contexts. The purpose of this study was to investigate how behaviors differ between OCPD individuals and healthy controls (HCs) in the context of guilt-related interpersonal responses.

**Method.** A total of 113 participants were recruited, including 46 who were identified as having OCPD and 67 HCs. Guilt-related interpersonal responses were manipulated and measured with two social interactive tasks: the Guilt Aversion Task, to assess how anticipatory guilt motivates cooperation; and the Guilt Compensation Task, to assess how experienced guilt induces compensation behaviors. The guilt aversion model and Fehr–Schmidt inequity aversion model were adopted to analyze decision-making in the Guilt Aversion Task and the Guilt Compensation Task, respectively.

**Results.** Computational model-based results demonstrated that, compared with HCs, the OCPD group exhibited less guilt aversion when making cooperative decisions as well as less guilt-induced compensation after harming others.

**Conclusion.** Our findings indicate that individuals with OCPD tend to be less affected by guilt than HCs. These impairments in guilt-related responses may prevent adjustments in behaviors toward compliance with social norms and thus result in interpersonal dysfunctions.

#### I 🚚 d c

Personality disorders have pervasive impacts on subjective well-being, quality of life, and socioeconomics (Tyrer, Reed, & Crawford, 2015). Of the 10 currently recognized personality disorders, obsessive-compulsive personality disorder (OCPD) is the most common with a prevalence range of 2.1–7.9% (APA, 2013). A national epidemiologic survey in the USA showed that the prevalence of lifetime OCPD was 7.8% in the community (Grant, Mooney, & Kushner, 2012). In China, the prevalence of OCPD among patients with psychotic and non-psychotic disorders was reported to be 6.6% and 14.6%, respectively (Wang et al., 2021).

According to the DSM-5, OCPD is 'a pervasive pattern of preoccupation with orderliness, perfectionism, and mental and interpersonal control, at the expense of flexibility, openness'. These tendencies can have marked psycho-social consequences, especially with respect to establishing and sustaining close relationships (APA, 2013). The negative impacts of OCPD behaviors tend to become more pronounced the longer they persist. In a longitudinal study investigating the interpersonal impairments of several personality disorders, including OCPD as well as schizotypal, borderline, and avoidant personality disorders, participants' social relationships with parents, life partners, and friends were evaluated prior to treatment, after 1 year of treatment, and after 2 years of treatment. The OCPD group was the only diagnostic group that did not show significant improvements in any of these three social relationship realms after treatment (Skodol et al., 2005).

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Empathy is an important psychological process that facilitates pro-social behaviors (Decety, Bartal, Uzefovsky, & Knafo-Noam, 2016). A lack of empathy in individuals with OCPD may lead to stubbornness, hostility, and misunderstanding in interpersonal communication, ultimately impairing interpersonal relationships (Cain, Ansell, Simpson, & Pinto, 2015; Hummelen, Wilberg, Pedersen, & Karterud, 2008). Recently, the link between mental processes and behaviors has been attracting more attention. A core function of empathy in social interactions is to induce the feeling of guilt. Guilt is a moral emotion that functions positively in interpersonal relationships by stimulating prosocial behaviors such as apologizing, compensation, and cooperation (Baumeister, Stillwell, & Heatherton, 1994; Ketelaar & Tung Au, 2003; Tangney, Stuewig, & Mashek, 2007). It is induced when a personal moral rule or social standard has been violated, especially when one is aware that they have inflicted harm, loss, or distress upon others. Guilt requires an inherent capacity for empathy that enables one to recognize another person's suffering (Hoffman, 1982). In neuroimaging studies, both guilt and empathy have been shown to elicit similar areas of activation, such as the insula (Moll & de Oliveira-Souza, 2007; Morey et al., 2012; Takahashi et al., 2004). Moreover, patients with damage to empathy-related brain regions display diminished guilt (Koenigs et al., 2007). Thus, we hypothesize that, due to a deficiency in their ability to empathize, individuals with OCPD may exhibit less guilt-related responses than healthy controls (HCs), which may result in OCPD-associated interpersonal dysfunctions (hypothesis 1).

However, higher than typical levels of guilt are common to many mental disorders, including major depression (Ghatavi, Nicolson, MacDonald, Osher, & Levitt, 2002), other mood disorders (Zahn, de Oliveira-Souza, & Moll, 2013), and notably obsessive-compulsive disorder (OCD) (Shafran, Watkins, & Charman, 1996; Shapiro & Stewart, 2011). OCD patients have been shown to exhibit particularly strong responses of guilt, commonly triggered by a perceived inflated responsibility for interpersonal transgressions (Shafran et al., 1996; Shapiro & Stewart, 2011). Moreover, it has been shown that the level of guilt experience correlates directly with OCD symptom severity (Chiang, 2013). Indeed, researchers have proposed that guilt may contribute to the occurrence and maintenance of OCD symptoms in that guilt-related fears of improper behavior may further augment obsessive-compulsive thoughts and behaviors (Mancini & Gangemi, 2004; Nissenson, 2007).

It has been suggested that OCPD may be a candidate member of the obsessive-compulsive spectrum, since OCPD resembles OCD in terms of phenomenology, comorbidity, neurocognition, and treatment response characteristics (Fineberg, Sharma, Sivakumaran, Sahakian, & Chamberlain, 2007; Stein et al., 2016; Thamby & Khanna, 2019). Although how guilt contributes to the formation and maintenance of OCD is well discussed, few studies have investigated guilt in OCPD from a social-emotional response perspective (Pinto, Eisen, Mancebo, & Rasmussen, 2007). It is not yet known whether individuals with OCPD have guilt responses similar to individuals with OCD. Given the commonalities between these two disorders that have been identified in previous studies, we aim to test a second, and contradictory, hypothesis that as a candidate member of the obsessivecompulsive spectrum, OCPDs may be associated with more intense guilt-related responses than HCs (hypothesis 2).

Previous studies conducted with healthy participants have suggested that guilt may affect interpersonal decision-making in two ways, namely that the anticipatory guilt may have a promoting effect on cooperative behaviors, while the experienced guilt may have a promoting effect on compensation behaviors (Battigalli & Dufwenberg, 2007; Baumeister et al., 1994; Chang, Smith, Dufwenberg, & Sanfey, 2011; Reuben, Sapienza, & Zingales, 2009; Yu, Hu, Hu, & Zhou, 2014). These two aspects of guilt influences on behaviors can be captured quantitatively by combining the computational modeling approach with two multiple-round social behavioral interaction tasks: the Guilt Aversion Task (Nihonsugi, Ihara, & Haruno, 2015) and the Guilt Compensation Task (Gao et al., 2018). In this study, we employed these two tasks to assess guilt-related responses in OCPDs and HCs. The methodological approach of combining interactive games that applied in social psychology with computational modeling approaches that developed in neuroeconomics have several advantages over past studies that have used mainly guilt-inducing scenarios and questionnaires to assess guilt (Chiang, Purdon, & Radomsky, 2016; Jones, Schratter, & Kugler, 2001). Firstly, scenarios or questionnaires do not involve real social interactions, rely heavily on participants' imaginations, and are insufficient to measure emotion-induced behavioral responses (Sesso et al., 2021). In contrast, interactive games enable us to observe participants' emotions and subsequent behaviors in realistic contexts. Secondly, the effect of social desirability may lead participants to augment the display of moral emotions in scenarios or questionnaires in the absence of any real-world outcome or cost of reporting emotions (Larsen & Fredrickson, 1999; Nisbett & Wilson, 1977). Contrarily, in interactive games, participants' decisions do impact self- and otherpayoffs; thus, potential monetary costs can mitigate the effect of social desirability. Finally, social behaviors (e.g. cooperation) may involve multiple psychological concerns in addition to guilt (Rutledge, de Berker, Espenhahn, Dayan, & Dolan, 2016; Yu, Shen, Yin, Blue, & Chang, 2015), which cannot be quantitatively dissociated by traditional data analysis based on scenarios or questionnaires. The multiple-round interactive game enables us to apply computational modeling, which can dissociate and quantify guiltspecific effects underlying social behaviors (Fehr & Schmidt, 1999; Nihonsugi et al., 2015). Given this advantage of dissociating and quantifying different psychological constructs mathematically, the applications of computational modeling in clinical research are drawing increasing attention [e.g. computational psychiatry (Mujica-Parodi & Strey, 2020; Wilson & Collins, 2019)].

The purpose of the current study was to employ two interpersonal interactive tasks, the Guilt Aversion Task and the Guilt Compensation Task, together with computational modeling to induce and compare quantitatively the guilt-related responses in individuals with OCPD and HCs. We will thus determine which of our two contradicting proposed hypotheses is better supported by the resultant data. That is, if OCPD group exhibits decreased guilt-related responses relative to HCs, then our first hypothesis proposing the role of the empathy deficiency in OCPD's guilt-related responses will be supported. Conversely, if the OCPD group exhibits increased guilt-related responses, then our second hypothesis proposing heightened guilt due to OCPD being on a spectral continuum with OCD will be supported. Our findings will contribute to a better understanding of guilt-related interpersonal dysfunctions in OCPD.

### Me d

#### **Participants**

Firstly, a sample pool of 8303 undergraduates were recruited from four universities in Hunan Province to complete the Personality

Diagnostic Questionnaire-4 (PDQ-4; Bagby & Farvolden, 2004). Those who obtained a composite score  $\geq 5$  on the OCPD subscale were considered clinically relevant and invited to be evaluated. Secondly, OCPD was diagnosed by a psychiatrist using the structured clinical interview for DSM-IV axis II personality disorders (SCID-II; First, Benjamin, Gibbon, Spitzer, & Williams, 1997a). Meanwhile, to exclude the influences of other mental disorders, participants with current or past mental disorders were excluded using the structured clinical interview for DSM-IV axis I disorders (SCID-I, First, Spitzer, Gibbon, & Williams, 1997b). A total of 46 people (22 women, 48%; 20.4  $\pm$  1.4 years) were diagnosed with OCPD and constituted our OCPD group.

The HC participants were collected from the randomly recruited 8303 undergraduates described above, whose scores in all subscales of PDQ-4 were lower than the cutoffs (Bagby & Farvolden, 2004). Individuals who had a past or ongoing history of a SCID-I diagnosis based on a clinical interview by a psychiatrist were excluded (First et al., 1997b). A randomly selected group of 67 (38 women, 57%;  $21.9 \pm 1.3$  years) of the remaining participants constituted the HC group.

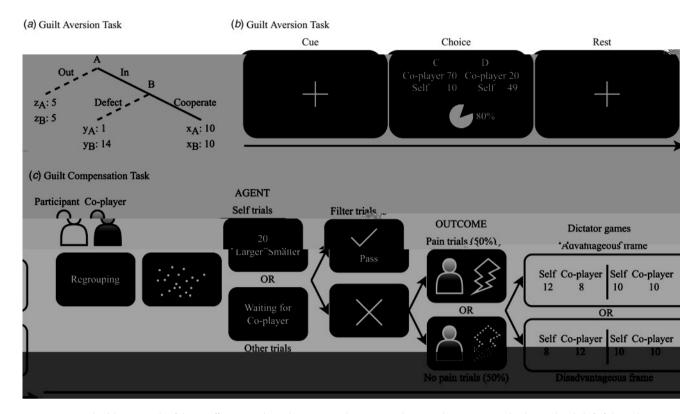
All 113 participants (46 OCPDs and 67 HCs) completed questionnaires to collect clinical and psychological information and then completed the Guilt Aversion Task; five participants were excluded from the data processing due to a failure to understand the instructions. The remaining 108 participants (42 OCPDs and 66 HCs) were included in the final analysis of the Guilt Aversion Task. Due to the relatively long duration of the task and the potential risk of inflicting pain upon others in the Guilt Compensation Task, 29 participants dropped out, leaving a total of 79 participants (42 OCPDs and 37 HCs) in the final analysis of the Guilt Compensation Task. The study was approved by the Institutional Ethical Board of the Second Hospital of Xiangya, Central South University, and participants provided written informed consent before testing. To reduce the Hawthorne Effect (Sedgwick, 2012), all participants were unaware of grouping information and the study purpose during the experiment.

After enrollment and grouping, participants were numbered and led to the laboratory to complete questionnaires and perform the Guilt Aversion Task and the Guilt Compensation Task. The experimenter could identify group association based on participant numbers. Because this was a single-blinded experiment, there was a potential risk of the Experimenter Effect (Kintz, Delprato, Mettee, Persons, & Schappe, 1965). However, several factors mitigate this concern. First, all of the procedures and instructions were standardized. Additionally, and most importantly, we posed two contradictive hypotheses based on previous evidence: (1) individuals with OCPD exhibit decreased level of guilt-related responses compared to HCs due to an empathy deficiency; v. (2) similar to people with OCD, individuals with OCPD are inclined to have an elevated level of guilt-related responses. All of the experimenters knew these two hypotheses and they could not predict which hypothesis would be supported before or during the experiment. Moreover, the experimenters were not allowed to analyze the data until the data collection had been completed. The background condition of these two contradictive hypotheses thus abates explicit or implicit experimenter influences on the participants to behave in accordance with the hypotheses, which to some extent exclude the Experimenter Effect.

For the questionnaires, *a priori* power analysis was conducted using G\*Power version 3.1 (Faul, Erdfelder, Lang, & Buchner,

2007) for sample size estimation. The prior effect size was determined based on the data from a published study (Cain et al., 2015) (N=50), which compared OCPD to HC groups using the Interpersonal Reactivity Index (IRI). The effect size in this prior study was 0.70, considered to be medium according to Cohen's (1988) criteria. With a significance criterion of  $\alpha$ =0.05 and power=0.80, the minimum sample size needed to obtain a simi-

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**F** . 1. Interactive tasks. (a) An example of the payoff matrix in the Guilt Aversion Task. Investor A chooses either Out or In and indicates their belief of the probability that the investee B cooperates ( $\tau_A$ ). If the investor A chooses In, then the investee B should choose between the options of Cooperate and Defect. If the investee B chooses the Cooperate option, then the investor A and the investee B receive  $x_A$  and  $x_B$ , respectively (condition x). If the investor B chooses the Defect option instead, then the investor A and investee B receive  $y_A$  and  $y_B$ , respectively (condition y). If the investor A chooses Out, then the investor A and investee B receive monetary payoffs of  $z_A$  and  $z_B$ , respectively (condition z). (b) Experimental procedure of the formal part of the multi-round Guilt Aversion Task. For each new trial, the participant was told that they would be paired with a new and randomly assigned anonymous investor A who chose In and provided a belief of the probability that the participant (investee B) would chose Cooperate,  $\tau_A$ . The participant then chose Cooperate or Defect under the given payoff matrix and having knowledge of the investor A's  $\tau_A$ , indicated by a pie chart. (c) Experimental procedure of the multi-round Guilt Compensation Task. Participants were told that they would be playing with three other anonymous players. Each trial began by informing the participants that they were randomly and anonymously paired with one of three co-players. In half of the trials, the participant performed a dot estimation task (Self trials); in the other half of the trials, the participant waited for their co-player to make an estimation (Other trials). If the answer was correct, no one would receive pain stimulation, and the current trial terminated. If either of them responded incorrectly, the co-player in the current trial had a 50% probability of receiving pain stimulation (Pain trials and No-pain trials), determined by the computer program. At the end of each incorrect trial, t

and control of thoughts (Obsessive Compulsive Cognitions Working Group, 2005).

#### Interpersonal Reactivity Index (IRI)

The IRI is a 28-item self-report measure that consists of four seven-item subscales accessing the following aspects of empathy: perspective taking (the tendency to spontaneously adopt the psychological point of view of others), fantasy (the tendency for individuals to transpose themselves imaginatively into the feelings and actions of fictitious characters in books, movies, or play), empathic concern (other-oriented feelings of sympathy and concern for the misfortunate of others), and personal distress (self-oriented feelings of personal anxiety and unease in tense interpersonal settings) (Davis, 1980).

#### Guilt proneness

We adopted two guilt-related subscales of Guilt and Shame Proneness Scale (GASP) to measure proneness to guilt (Cohen, Wolf, Panter, & Insko, 2011). Specifically, the guilt proneness subscales used are designed to assess guilt-related negative behavior-evaluations (guilt-NBEs) and guilt-motivated repair

action tendencies (guilt-repair) following interpersonal transgressions. Guilt-NBEs reflect the experience of guilt, and individuals with higher NBE sub-scores feel guiltier after harming others. Guilt-repair reflects moral action orientation, and individuals with higher guilt-repair sub-scores are more likely to make corrections or compensations for their transgressions.

#### Interactive tasks

#### The Guilt Aversion Task

This task (Fig. 1*a*, *b*; Nihonsugi et al., 2015) measures the anticipatory guilt, in which participants are aware of others' expectations before making choices of whether to be cooperative or defect, which enables them to alter their behaviors and fulfill others' expectations to avoid guilt. There are two players in this task: investor A and investee B. First, the investor A chooses either Out or In and indicates their belief of the probability that the investee B cooperates ( $\tau_A$ ). If the investor A chooses In, then the investee B should choose between the options of Cooperate and Defect. If the investee B chooses the Cooperate option, then the investor A and the investee B receive  $x_A$  and  $x_B$ , respectively (condition x). If the investee B chooses the Defect option

instead, then the investor A and investee B receive  $y_A$  and  $y_B$ , respectively (condition y). If the investor A chooses Out, then the investor A and the investee B receive monetary payoffs of  $z_A$  and  $z_B$ , respectively (condition z), and the trial ends. Figure 1a shows an example of the payoff matrix in the Guilt Aversion Task.

The payoffs have several features: (1) for the investor A,  $x_A > z_A > y_A$ ; and (2) for the investee B,  $y_B > x_B > z_B$ . Thus, to maximize their income, the investor A should choose In and expect that the investee B chooses Cooperate. However, if the investor A chooses In but the investee B chooses Defect, the investor A's payoff will be the least of the three conditions. For the investee B, the Defect option always has a higher payoff than the option Cooperate, but it may make one feel guilty for disappointing the investor A.

The Guilt Aversion Task was consisted of two parts. In part I, the participant experienced the decision-making process of investor A, deciding whether to choose In or Out under the above-described payoff matrix (Fig. 1a) and predicting the probability that the investee would cooperate. Through part I, which consisted of 20 trials, the participant thus gained a better understanding of the task rules. The participant was informed that their choices in part I were unrelated to and would not influence those of the next part. In part 2, which consisted of 35 trials, the participant completed the formal task as investee B (Fig. 1b). For each new trial, the participant was told that they would be paired with a new and randomly assigned anonymous investor A who chose In and provided a belief of the probability that the participant (investee B) would chose Cooperate,  $\tau_A$ . The participant then chose Cooperate or Defect under the given payoff matrix and having knowledge of the investor A's  $\tau_A$ , indicated by a pie chart. Only the data from part II, in which the participant played the role of investee B, were included in the data analysis (Nihonsugi et al., 2015).

#### The Guilt Compensation Task

This task (Fig. 1c; Gao et al., 2018) measures the experience of guilt and to what extent the experienced guilt facilitates compensation. The participant was told that they would be playing with three other anonymous players. Each trial began by informing the participants that they were randomly and anonymously paired with one of three co-players. In half of the trials, the participant performed a dot estimation task (Self trials); in the other half of the trials, the participant waited for their co-player to make an estimation (Other trials). If the answer was correct, no one would receive pain stimulation, and the current trial terminated. If either of them responded incorrectly, the co-player in the current trial had a 50% probability of receiving pain stimulation (Pain trials and No-pain trials), determined by the computer program. At the end of each incorrect trial, the participant would act as a dictator in the dictator game (DG) and make four sequential monetary binary choices to determine the payoffs for themselves and for the co-player. This DG gave the participant a chance to compensate the co-player in this trial. This formed a 2 (Agent who performed dot estimation task: Self v. Other) by 2 (Outcome for the co-player: Pain v. Nopain) within-participant design. The Self\_Pain condition was the critical condition to induce guilt. The other three conditions controlled for confounding factors, such as empathy for the co-player and regret for providing a wrong estimation. The Agent-Outcome interaction effect [i.e. (Self\_Pain - Other\_Pain) > (Self\_Nopain - Other\_Nopain)] was the guilt effect that we focused on (Gao et al., 2018). The experiment consisted of 72 trials, including 12 trials for each of the above four conditions and 24 correct trials. Each condition consisted of 48 monetary binary DG choices (four per trial).

In the DG, each of the four serial binary choices consisted of two options representing the payoffs that the participant and the co-player would earn. One option was an equal allocation (i.e. 10 points for me, and 10 points for the co-player). The other option was an unequal allocation with different values in each trial – either an advantageous inequity frame (i.e. allocating more to self than to the co-player) or a disadvantageous inequity frame (i.e. allocating more to the co-player than to self). For further details about the Guilt Compensation Task and the DG, see Gao et al. (2018).

After completing the Guilt Compensation Task, the participant was asked to rate how guilty they felt under each of four conditions on a seven-point Likert scale.

#### Monetary incentive

Participants who completed both the Guilt Aversion Task and the Guilt Compensation Task received a base payment of 300 RMB and those who completed only the Guilt Aversion Task received a base payment of 200 RMB. Additionally, participants were informed that, after the experiment, one choice in each of the two tasks would be randomly selected to determine additional bonuses to themselves and their corresponding co-players. This monetary incentive can make participants more active and focused during the performance of the task. Given that participants made decisions that could influence their own as well as others' payoffs, these potential monetary costs to some extent mitigate the social display effects (Larsen & Fredrickson, 1999; Nisbett & Wilson, 1977). This arrangement has been proven to be effective in previous studies on guilt-related behaviors (Gao et al., 2021, 2018).

#### Computational modeling

In line with previous studies on anticipatory guilt and experienced guilt, the guilt aversion model (Nihonsugi et al., 2015) and Fehr–Schmidt inequity aversion model (Fehr & Schmidt, 1999; Gao et al., 2018) were adopted respectively for the Guilt Aversion Task and the Guilt Compensation Task, to capture the influences of anticipatory guilt and experienced guilt in decision-making.

#### The guilt aversion model

The guilt aversion model (Nihonsugi et al., 2015) assumes that an individual dislikes disappointing another's belief. Thus, if investor A chose In in the Guilt Aversion Task, then the participant (investee B) was faced with the pressure of the investor A's expectation for cooperation with a belief magnitude of  $\tau_A$ . Therefore, the participant's perceived investor A's expectation of repayment was represented as the multiplicative product of investor A's belief that the participant workshops choose Coopera of a 5J-275F1 Mand investor A

monetary payoffs was unequal (see details in Nihonsugi et al., 2015). Thus, the participant's utility of the choice Defect can be represented by the difference between their payoff and the loss caused by guilt aversion  $(\tau_A \cdot x_A - y_A)$  in addition to the loss caused by inequity aversion  $(y_A - y_B)$ . Conversely, the participant's utility of the choice Cooperate was represented as the difference between their payoff and the loss caused by inequity aversion  $(x_A - x_B)$  (Eq. 1). Altogether, the participant's (the investee B's) utility function,  $u_B$ , was given by:

where  $\gamma$  captured the participant's sensitivity to guilt aversion,

drawn from the posterior distribution. If the synthetic datasets resemble the empirical data closely, then the model fit is deemed adequate (van Ravenzwaaij, Dutilh, & Wagenmakers, 2011). We generated posterior predictions from the estimated parameters and then compared the predicted choices with the true choices, thus computing predictive accuracy. Parameter recovery is another way of evaluating how well the model fit; it indicates whether the models are robustly identifiable (Fareri, Chang, & Delgado, 2015). Similarly, we generated posterior predictions from the estimated parameters (i.e. true parameters) and then fit-

and  $\alpha$  captured the participant's sensitivity to inequity aversion. Each choice, Defect or Cooperate, had a corresponding utility, u(Defect) and u(Cooperate). Ultimately, the difference between utilities of the two choices contributed to the participant's choice (Eq. 2). The utility function was calibrated to the participant's choice using a softmax specification with an inverse temperature parameter,  $\lambda$ , such that in each trial, the probability that the participant would choose Cooperate was expressed as:

$$P_B(\text{cooperate}) = \frac{1}{1 + e^{-\lambda(u_B(\text{cooperate}) - u_B(\text{defect}))}}$$
(2)

#### The Fehr-Schmidt inequity aversion model

In the Guilt Compensation Task, the participant chose between the equal and unequal options to determine the payoffs that would be given to themselves and to the co-player, represented as Ms and Mo, respectively. One option was an equal allocation (each getting 10 points) and the other was an unequal allocation. For the equal allocation, since Ms always equaled Mo, u(unequal allocation) was constant as 10, i.e. constant utility without discount caused by inequity aversion. For the unequal allocation, the utility was calculated as shown in Eq. 3:

$$u(\text{unequal allocation}) = Ms - p \cdot \alpha \cdot (Ms - Mo) - q \cdot \beta$$
  
  $\cdot (Mo - Ms)$  (3)

where p and q were indicator functions. That is, p=1 and q=0 if  $Ms \ge Mo$  (advantageous inequity frame), and q=1 and p=0 if Ms < Mo (disadvantageous inequity frame). Thus,  $\alpha$  and  $\beta$  represented the participant's extents of aversion to advantage inequity and disadvantage inequity, respectively. In each trial, the probability of choosing the unequal allocation was defined by Eq. 4:

$$p(\text{unequal allocation}) = \frac{1}{1 + e^{-\lambda(u(\text{unequal allocation}) - u(\text{equal allocation}))}} \ . \eqno(4)$$

The performance of model fitting was assessed by posterior predictions and parameter recovery. Posterior predictions are synthetic, model-generated datasets that are produced by parameters

ted the model to these simulated data to 'recover' the parameters. Finally, we compared the recovered parameters to their true values. If the model was well fitted, the recovered parameters would correlate strongly with the true parameters (Wilson & Collins, 2019).

#### Statistical anal sis

Categorical data (gender, habitation, and whether an only child) were compared with  $\chi^2$  tests. Effect size was reported as *Cramer's V. T* tests were conducted to assess group differences in scores of OBQ-44, IRI, and Guilt Proneness. Effect size was reported as Cohen's *d.* Multiple comparison corrections were adopted and *p* values were corrected using the Benjamini and Hochberg method of false discovery rate (Benjamini & Hochberg, 1995).

For both the Guilt Aversion Task and the Guilt Compensation Task, parameters were estimated using maximum likelihood estimation with the *fmincon* function in Matlab (MATLAB, 2018); the standard errors of estimated parameters were obtained through a bootstrap procedure with 200 iterations (Gao et al., 2018; Nihonsugi et al., 2015). Since the parameters estimated from computational modeling might not fit the normality and variance-homogeneity assumptions of traditional *t* tests, Bayes

To further support our model-based results, the relationship between guilt aversion parameter ( $\gamma$ ) and the cooperation rate in the Guilt Aversion Task was examined using Pearson correlation. In the Guilt Compensation Task, a 2 (Agent: Self  $\nu$ . Other) × 2 (Outcome: Pain  $\nu$ . Nopain) × 2 (Group: OCPD  $\nu$ . HC) three-way analysis of variance (ANOVA) was used to assess the group differences in the experienced guilt and the guilt effect on behavior (i.e. monetary compensation, reflected by the difference between the chosen payoffs for self and the co-player). Effect size was reported as partial  $\eta^2_{\rm partial}$ . Analyses were conducted in R 4.0 (R Core Team, 2020) with a significance level of p < 0.05.

#### Re

#### Demographics and questionnaire

The demographic and psychometric characteristics of the participants are presented in Table 1. This pattern of results remained the same if we used the data of 108 participants of the Guilt Aversion Task or used the data of 74 participants of the Guilt Compensation Task. As expected, OCPD participants had higher obsessivecompulsive traits than HCs, as reflected by OBQ-44 sub-scores, including those for responsibility/threat estimate ( $t_{112} = 2.36$ ,  $p_{\rm corr} = 0.036$ , Cohen's d = 0.45), importance/control of thoughts  $(t_{112} = 4.16, p_{corr} < 0.001, Cohen's d = 0.80), and perfectionism/$ certainty ( $t_{112} = 2.69$ ,  $p_{corr} = 0.019$ , Cohen's d = 0.51). There were no significant differences in demographics between the two groups [gender,  $\chi^2_{(1, N=113)} = 0.84$ , p = 0.360, Cramer's V = 0.09; whether an only child,  $\chi^2_{(1, N = 113)} = 0.89$ , p = 0.482, Cramer's V = 0.06; or habitation,  $\chi^2_{(1, N=113)} = 0.27$ , p = 0.602, Cramer's V = 0.05]. Likewise, there were no significant differences in guilt proneness between the two groups (guilt NBEs,  $t_{112} = 1.26$ ,  $p_{corr} = 0.236$ , Cohen's d = 0.24; and guilt-repair,  $t_{112} = 0.93$ ,  $p_{corr} = 0.355$ , Cohen's d = 0.18).

We observed significant differences between the two groups in the perspective-taking and the personal distress subscales of the IRI. OCPD participants reported a lower level of perspective-taking ( $t_{112} = 3.13$ ,  $p_{\rm corr} = 0.007$ , Cohen's d = 0.60) and a higher level of personal distress ( $t_{112} = 4.19$ ,  $p_{\rm corr} < 0.001$ , Cohen's d = 0.80). No significant difference was observed in the fantasy ( $t_{112} = 1.49$ ,  $p_{\rm corr} = 0.179$ , Cohen's d = 0.29) or the empathic concern ( $t_{112} = 1.66$ ,  $p_{\rm corr} = 0.149$ , Cohen's d = 0.32) subscales of the IRI.

# OCPD participants e hibited less guilt aversion than HCs during cooperation

For the Guilt Aversion Task, the computational modeling parameter  $\gamma$  represented the participant's extent of guilt aversion, i.e. to what extent the anticipatory guilt motivated cooperation. For both groups,  $\gamma$  values correlated directly with the cooperation rate (HC:  $r=0.80,\ p<0.001;\ \text{OCPD:}\ r=0.83,\ p<0.001;\ \text{Fig. 2a}$ ), indicating that participants' cooperative behaviors were affected by anticipatory guilt and that individuals with higher guilt aversion were more likely to choose to cooperate than to defect.

A Bayesian t test indicated that the OCPD group had a lower level of guilt aversion ( $\gamma$ ) than the HC group (Fig. 2b; BF $_{10} > 100$ ; power = 1.00), providing extremely strong evidence for the alternative hypothesis. The results suggest that, compared to HCs, individuals with OCPD tend to have a reduced aversion to anticipatory guilt in social interactive decision-making, which may lead them to be less cooperative.

# OCPD participants e hibited less guilt-induced compensation than HCs after harming others

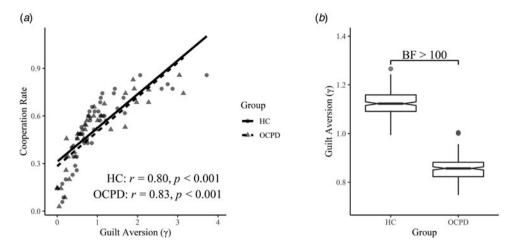
For the Guilt Compensation Task, a 2 (Agent: Self  $\nu$ . Other)  $\times$  2 (Outcome: Pain  $\nu$ . Nopain)  $\times$  2 (Group: OCPD  $\nu$ . HC) three-way ANOVA was conducted to compare group differences in the experienced guilt (Fig. 3a) and the guilt effect on behavior

Tab e 1. Demographic and psychometric measures of HC and OCPD

	HC (n = 67)	OCPD (n = 46)	$\chi^2/t$	Cramer's V/Cohen's d
Demographic				
Gender (male/female)	35/32	20/26	0.84	0.09
Only Child (yes/no)	36/31	22/24	0.38	0.06
Habitation (urban/rural)	36/31	27/19	0.27	0.05
Obsessive Belief Questionnaire				
Responsibility/threat estimation	57.85 ± 14.69	64.22 ± 13.18	-2.36*	0.45
Importance/control of thoughts	56.31 ± 13.50	66.85 ± 12.80	-4.16***	0.80
Perfectionism/certainty	30.25 ± 9.65	35.35 ± 10.28	-2.69*	0.51
Interpersonal Reactivity Index				
Perspective-taking	26.76 ± 3.49	24.83 ± 2.81	3.13**	0.60
Fantasy	21.87 ± 4.63	23.15 ± 4.33	-1.49	0.29
Empathic concern	25.31 ± 3.62	24.17 ± 3.53	1.66	0.32
Personal distress	21.31 ± 3.86	24.33 ± 3.60	-4.19***	0.80
Guilt proneness				
Guilt-NBEs	23.12 ± 3.76	22.22 ± 3.69	1.26	0.24
Guilt-repair	24.40 ± 2.74	23.89 ± 3.07	0.93	0.18

Guilt-NBEs, guilt-negative behavior-evaluations; guilt-repair, guilt-repair action tendencies. Note: p values corrected by B&H method, \* $p_{corr}$ <0.05, \*\* $p_{corr}$ <0.01, \*\*\* $p_{corr}$ <0.001.

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**F** . 2. Results of the Guilt Aversion Task. (a) Correlation of the guilt aversion parameter  $\gamma$  with cooperation rate, indicating that participants' cooperative behaviors were affected by anticipatory guilt and that individuals with higher guilt aversion were more likely to choose to cooperate than to defect. (b) Group distributions of  $\gamma$ . Posterior  $\gamma$  distributions presented as notched boxplots (notches are 95% CIs) showing lower  $\gamma$  in OCPD group than in HC group, consistent with Bayesian t test result, demonstrating a reduced aversion to anticipatory guilt in social interactive decision-making in the OCPD group, which may lead them to be less cooperative.

(i.e. monetary compensation, reflected by the difference between the chosen payoffs for self and the co-player; Fig. 3b). Result showed no significant difference between the two groups in post-task self-reported guilt under the four conditions of the Guilt Compensation Task ( $F_{1, 77} = 0.09$ , p = 0.759,  $\eta^2_{\text{partial}} < 0.01$ ), suggesting that individuals with OCPD may experience the same level of guilt as HC participants during this task.

There was a significant Agent × Outcome × Group interaction effect with respect to the amount of compensation ( $F_{1, 77} = 4.57$ , p = 0.036,  $\eta_{\rm partial}^2 = 0.06$ ). Simple two-way interaction post-hoc tests performed separately for each group revealed a significant interaction between Outcome and Agent (a guilt effect) in the HC group ( $F_{1, 36} = 11.55$ , p = 0.002,  $\eta_{\rm partial}^2 = 0.11$ ); this effect was not observed in the OCPD group ( $F_{1, 41} = 0.05$ , p = 0.825,  $\eta_{\rm partial}^2 < 0.01$ ). These results suggest that the experienced guilt induced significant compensation behaviors in the HC group, while this guilt effect was reduced or absent in OCPD participants.

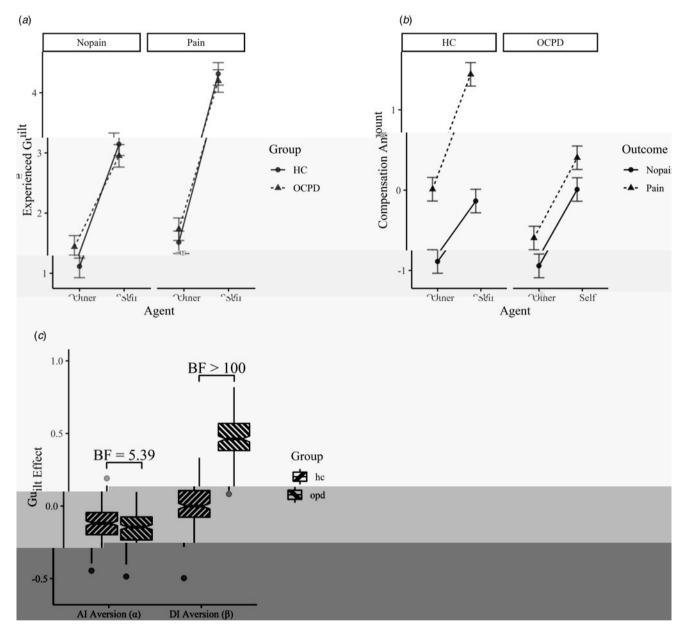
The previous study using the Guilt Compensation Task has shown that, when experiencing guilt, healthy population tend to exhibit an increased advantageous inequity aversion and decreased disadvantageous inequity aversion during monetary allocation (Gao et al., 2018), a predisposition that promotes compensation to victims. Therefore, to probe the influence of OCPD on this tendency, we used computational modeling to estimate group-level advantageous inequity aversion ( $\alpha$ ) and disadvantageous inequity aversion ( $\beta$ ) across four conditions. The 2 (Agent: Self or Other) × 2 (Outcome: Pain or Nopain) interaction effects (i.e. the guilt effect) on advantageous inequity aversion ( $\alpha$ ) and disadvantageous inequity aversion ( $\beta$ ) are represented visually in Fig. 3c. It was determined that the experienced guilt contributed less to increases in advantageous inequity aversion ( $\alpha$ ) in the OCPD group than in the HC group ( $BF_{10} = 5.39$ ), providing moderate evidence for the alternative hypothesis, albeit with relatively weak power (0.60). Additionally, we found that the experienced guilt contributed less to decreases in disadvantageous inequity aversion ( $\beta$ ) in the OCPD group than in the HC group (BF<sub>10</sub>> 100), providing extremely strong evidence for the alternative hypothesis (power = 1.00). Thus, although the level of experienced guilt after inflicting harm on others was similar between the two groups, the experienced guilt contributed less to compensation behaviors in the OCPD group than in the HC group, largely driven by the group difference in disadvantageous inequity aversion.

Our computational models performed well in terms of both posterior predictions and parameter recovery. The accuracy of model prediction was 0.83 [95% CI (0.81–0.85)] for the Guilt Aversion Task and 0.85 [95% CI (0.82–0.87)] for the Guilt Compensation Task. For the Guilt Aversion Task, the recovered guilt aversion parameter  $\gamma$  and its true value correlated strongly ( $r=0.98,\ p<0.001;$  online Supplementary Fig. S1a). For the Guilt Compensation Task, the recovered parameters of the guilt effects on advantageous inequity aversion ( $r=0.74,\ p<0.001;$  online Supplementary Fig. S1b) and disadvantageous inequity aversion ( $r=0.86,\ p<0.001;$  online Supplementary Fig. S1c) correlated strongly with their respective true values, affirming an acceptable model fit.

To be noted, previous studies have shown that guilt may induce social avoidance that could be captured using eye-tracking (Yu, Duan, & Zhou, 2017) or other technologies. In this view, it is possible that OCPD and HC participants may also have differences in guilt-related social avoidances. If participants showed social avoidances after feeling guilt, it may make participants to hesitate during decision-making and induce more missed choices. To test this possibility, we counted the number of missed choices in the two decision-making tasks, and did not observe significant differences between OCPD and HC groups [Guilt Aversion Task:  $t_{(107)} = 1.48$ , p = 0.144; Guilt Compensation Task:  $t_{(78)} = 1.88$ , p =0.074]. Therefore, we assumed that guilt-induced avoidance did not significantly affect the main results of this research. However, as a pioneering study focusing on the influence of anticipatory guilt on cooperative behaviors and the effect of experienced guilt on compensation behaviors, we acknowledge that the current tasks were not designed to measure guilt-induced avoidance directly. Future specially designed studies are needed to address this possibility.

#### D c

The current study investigated the guilt-related responses of OCPD to better understand OCPD's interpersonal dysfunction, since guilt is one of the most important moral emotions in social



**F** . 3. Results of the Guilt Compensation Task. ( $\alpha$ ) OCPD and HC groups had similar post-task self-reported guilt under the four conditions (three-way ANOVA). (b) The experienced guilt induced significant compensation behaviors in the HC group (i.e. outcome × agent interaction), while this guilt effect was reduced or absent in OCPD participants. (c) 2 (Agent: Self or Other) × 2 (Outcome: Pain or Nopain) interaction effects (i.e. the guilt effect) on advantageous inequity aversion ( $\alpha$ ) and disadvantageous inequity aversion ( $\alpha$ ). Experienced guilt contributed less to increases in advantageous inequity aversion ( $\alpha$ ) and to decreases in disadvantageous inequity aversion ( $\beta$ ) in the OCPD group than in HCs.

life that promotes prosocial behaviors. The responses of two aspects of guilt – anticipatory guilt and experienced guilt – were measured respectively, by combining two social interactive tasks with computational modeling approach. Our computational modeling results of these guilt-related responses provide advanced evidence that (1) OCPDs are less affected by anticipatory guilt, and thus cooperate less in interpersonal relationships, and (2) OCPDs are less affected by experienced guilt and thus make fewer compensations to victims, despite that they reported same level of guilt feeling as HCs. The current study provides a proof of the principle that computational modeling can be used to help elucidate complex social behaviors that characterize psychiatric conditions and to help deepen our knowledge about mental disorders.

Anticipatory guilt regulates individuals' social behaviors before decisions are made and interpersonal transgressions happen, which promotes social relationships by driving behavioral adjustments to align with social norms (Baumeister et al., 1994; Charness & Dufwenberg, 2006; Reuben et al., 2009). For example, in the Guilt Aversion Task where participants decided how much to return to their co-player, self-interest predominated when the co-player expected little from the participant, while the effect of self-interest was relatively diminished when the co-player expressed confidence in the participant's probability of cooperation. Cooperative behaviors increased to avoid disappointing the co-player's expectation, thus reducing or avoiding guilt while enhancing a mutually beneficial relationship (Reuben

clinically, our observation provides a potential index that may distinguish OCPD and OCD in future clinical practice. Future research may directly compare these two groups to draw more specific conclusions. Secondly, the heterogeneity of OCPD was not considered due to the limited sample size. Individuals with OCPD exhibit a heterogeneous interpersonal profile suggestive of two distinct interpersonal subgroups: aggressive and pleasing (Solomonov, Kuprian, Zilcha-Mano, Muran, & Barber, 2020). Whether and how this heterogeneity could affect the guilt experience and guilt-related behaviors are as of yet unknown, calling for future investigations. Thirdly, our use of an incentivized setting. wherein participants' decisions affects the fortunes of others as well as themselves, may mitigate moral displays due to social desirability (Larsen & Fredrickson, 1999; Nisbett & Wilson, 1977). However, on the one hand, we used post-task self-ratings to assess experienced guilt in the Guilt Compensation Task. Although the way of post-task self-ratings has been shown to be effective previously (Chang et al., 2011; Gao et al., 2018; Yu et al., 2017, 2014), concerns remain regarding participants' introspection and memory abilities and a potential social desirability bias (Larsen & Fredrickson, 1999; Nisbett & Wilson, 1977). On the other hand, individuals knowing that their answers were destined for research could have influenced their answers. In fact, lack of direct and implicit measurement of emotions is a general limitation for studies on guilt and other social emotions, as no effective and predictive physical (e.g. facial expressions) or physiological (e.g. skin conductance responses) measures have been established. This situation calls for the refinement and development of techniques in future studies.

#### C c

Compared with HCs, OCPD participants tended to be less affected by guilt: they exhibited less guilt aversion when making cooperative decisions, and they exhibited less guilt-induced compensation after harming others. These impairments in guilt-related responses may prevent adjustments in behaviors toward compliance with social norms and thus result in interpersonal dysfunctions.

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